REPETITION ERROR CORRECTING SETS: EXPLICIT CONSTRUCTIONS AND PREFIXING METHODS*

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Abstract. In this paper we study the problem of finding maximally sized subsets of binary strings (codes) of equal length that are immune to a given number r of repetitions, in the sense that no two strings in the code can give rise to the same string after r repetitions. We propose explicit number theoretic constructions of such subsets. In the case of r = 1 repetition, the proposed construction is asymptotically optimal. For $r \ge 1$, the proposed construction is within a constant factor of the best known upper bound on the cardinality of a set of strings immune to r repetitions. Inspired by these constructions, we then develop a prefixing method for correcting any prescribed number r of repetition errors in an arbitrary binary linear block code. The proposed method constructs for each string in the given code a carefully chosen prefix such that the the resulting strings are all of the same length and such that despite up to any r repetitions, the prefix length is made to scale logarithmically with the length of strings in the original code. As a result, the guaranteed immunity to repetition errors is achieved while the added redundancy is asymptotically negligible.

Key words. Synchronization error correcting codes, enumeration problems, generating functions, congruences, residue systems.

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1. Introduction. Substitution error correcting codes are traditionally used in communication systems for encoding of a binary input message \mathbf{x} into a coded sequence $\mathbf{c} = C(\mathbf{x})$. The modulated version of this sequence is usually corrupted by additive noise, and is seen at the receiver as a waveform s(t),

$$s(t) = \sum_{i} c_{i}h(t - iT) + n(t), \qquad (1.1)$$

where c_i is the *i*th bit of **c**, h(t) is the modulating pulse, and n(t) is the noise introduced in the channel. The received waveform s(t) is sampled at certain sampling points determined by the timing recovery process, and the resulting sampled sequence is passed to the decoder which then produces the estimate of **c** (or **x**). In the analysis of substitution error correcting codes and their decoding algorithms it is traditionally assumed that the decoder receives a sequence which is a properly sampled version of the waveform s(t).

The timing recovery process involves a substantial overhead in the design of communication chips, both in terms of occupying area on the chip and in terms of power consumption. To avoid some of this cost, particularly in high speed systems, an alternative solution is to operate under a poorer timing recovery, while oversampling the received waveform in order to ensure that no information is lost. Thus the waveform s(t) instead of being sampled at instances $kT_s + \tau_k$ might be sampled at instances roughly T apart, for $T < T_s$. In the idealized infinite signal-to-noise ratio limit of a pulse amplitude modulation (PAM) system, this appears as if some symbols are sampled more than once. As a result, instead of creating nsamples from s(t), n + r samples are produced, where $r \ge 0$. As a consequence, when

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r > 0, the decoder is presented with a sampled sequence whose length exceeds the length of a codeword.

Motivated by this scenario, in this paper we study the problem of finding maximally sized subsets of binary strings (codes) that are immune to a given number r of repetitions, in the sense that no two strings in the code can give rise to the same string after r repetitions. In particular, we develop explicit number-theoretic constructions of sets of binary strings immune to multiple repetitions and provide results on their cardinalities. We then use these constructions to develop a prefixing method which transforms a given set of binary strings into another set that itself satisfies number-theoretic constraints of the proposed constructions. The redundancy introduced by this carefully chosen prefix is shown to to be logarithmic in the length of the strings in the given set.

The remainder of the paper is organized as follows. In Section 2 we first introduce an auxiliary transformation that converts our problem into that of creating subsets of binary strings immune to the insertions of 0's. In Section 3 we focus on subsets of binary strings immune to single repetitions. We present explicit constructions of such subsets and use number theoretic techniques to give explicit formulas for their cardinalities. Our constructions here are asymptotically optimal. In Section 4 we discuss subsets of binary strings immune to multiple repetitions. Our constructions here are asymptotically within a constant factor of the best known upper bounds and asymptotically better, by a constant factor than the best previously known such constructions, due to Levenshtein [9]. Inspired by these number-theoretic constructions, in Section 5 we develop a general prefixing-based method which injectively converts a given set of binary strings of the same length into another set such that the resulting set is immune to a prescribed number of repetition errors. The method produces for each string in the original set a carefully chosen prefix such that the result of the concatenation of the prefix and this string satisfies number-theoretic congruential constraints previously developed in Section 4 (where these constraints were shown to be sufficient to provide immunity to repetition errors). The prefix length in the proposed method is shown to scale logarithmically with the length of the strings in the original given set. Thus, the proposed construction guarantees immunity to a prescribed number of repetition errors, while the incurred redundancy becomes asymptotically negligible.

2. Auxiliary Transformation. To construct a binary, r repetition correcting code C of length n we first construct an auxiliary code \tilde{C} of length m = n-1 which is an r '0'-insertion correcting code. These two codes are related through the following transformation.

Suppose $c \in C$. We let $\tilde{c} = c \times T_n \mod 2$, where T_n is $n \times n - 1$ matrix, satisfying

$$T_n(i,j) = \begin{cases} 1, & \text{if } i = j, j+1 \\ 0, & \text{else.} \end{cases}$$
(2.1)

Now, the repetition in c in position p corresponds to the insertion of '0' in position p-1 in \tilde{c} , and weight(\tilde{c}) = number of runs in c -1. We let \tilde{C} be the collection of strings of length n-1 obtained by applying T_n to all strings C. Note that c and its complement both map into the same string in \tilde{C} .

It is thus sufficient to construct a code of length n - 1 capable of overcoming r '0'-insertions and apply inverse T_n transformation to obtain r repetitions correcting code of length n.

Since the strings starting with runs of different type cannot be confused under repetition errors, both pre-images under T_n may be included in such a code immune to repetition errors.

3. Single Repetition Error Correcting Set. Following the analysis of Sloane [7] and Levenshtein [8] of the related so-called Varshamov-Tenengolts codes [6] known to be capable

of overcoming one deletion or one insertion, let A_w^m be the set of all binary strings of length m and with w ones, for $0 \le w \le m$. Partition A_w^m based on the value of the first moment of each string. More specifically, let $S_{w,k}^{m,t}$ be the subset of A_w^m such that

$$S_{w,k}^{m,t} = \{(s_1, s_2, ..., s_m) | \sum_{i=1}^m i \times s_i \equiv k \mod t\}.$$
(3.1)

In the subsequent analysis we say that an element of $S^{m,t}_{w,k}$ has the first moment congruent to $k \mod t$.

LEMMA 3.1. Each subset $S_{w,k}^{m,w+1}$ is a single '0'-insertion correcting code. *Proof.* Suppose the string s' is received. We want to uniquely determine the codeword $\mathbf{s} = (s_1, s_2, ..., s_m) \in S_{w,k}^{m,w+1}$ such that s' is the result of inserting at most one zero in s. If the length of s' is m, conclude that no inserting are used as it is in the second state.

If the length of s' is m, conclude that no insertion occurred, and that s = s'.

If the length of s' is m + 1, a zero has been inserted. For $\mathbf{s}' = (s'_1, s'_2, ..., s'_m, s'_{m+1})$, compute $\sum_{i=1}^{m+1} i \times s'_i \mod (w+1)$. Due to the insertion, $\sum_{i=1}^{m+1} i \times s'_i = \sum_{i=1}^{m} i \times s_i + R_1$ where R_1 denotes the number of 1's to the right of the insertion. Note that R_1 is always between 0 and w.

Let k' be equal to $\sum_{i=1}^{m+1} i \times s'_i \mod (w+1)$. If k' = k the insertion occurred after the rightmost one, so we declare s to be the m leftmost bits in s'. If k' > k, R_1 is equal to k' - k and we declare s to be the string obtained by deleting the zero immediately preceding the rightmost k' - k ones. Finally, if k' < k, R_1 is w + 1 - k + k' and we declare s to be the string obtained by deleting the zero immediately preceding the rightmost w + 1 - k + k'ones. Π

3.1. Cardinality Results. Since $|A_w^m| = \begin{pmatrix} m \\ w \end{pmatrix}$ there exists k such that

$$|S_{w,k}^{m,w+1}| \ge \frac{1}{w+1} \begin{pmatrix} m \\ w \end{pmatrix}.$$
(3.2)

Since two codewords of different weights cannot result in the same string when at most one zero is inserted we may let \tilde{C} be the union of largest sets $S_{w,k_w^*}^{m,w+1}$ over different weights w. i.e.

$$\tilde{C} = \bigcup_{w=1}^{m} S_{w,k_w^*}^{m,w+1},$$
(3.3)

where $S_{w,k_w^*}^{m,w+1}$ is the set of largest cardinality among all sets $S_{w,k}^{m,w+1}$ for $0 \le k \le w$. Thus, the cardinality of \tilde{C} is at least

$$\sum_{w=0}^{m} \binom{m}{w} \frac{1}{w+1} = \frac{1}{m+1} \left(2^{m+1} - 1\right).$$
(3.4)

The upper bound $U_1(m)$ on any set of strings each of length m capable of overcoming one insertion of a zero is derived in [9] to be

$$U_1(m) = \frac{2^{m+1}}{m} \,. \tag{3.5}$$

Hence the proposed construction is asymptotically optimal in the sense that the ratio of its cardinality to the largest possible cardinality approaches 1 as $n \to \infty$.

By applying inverse T_n transformation for n = m + 1 to \tilde{C} and noting that both preimages under T_n can simultaneously belong to a repetition correcting set, we obtain a code of length n and of size at least $\frac{1}{n}(2^{n+1}-2)$, capable of correcting one repetition.

The cardinalities of the sets $S_{w,k}^{m,w+1}$ may be computed explicitly as we now show. Recall that the Möbius function $\mu(x)$ of a positive integer $x = p_1^{a_1} p_2^{a_2} \dots p_k^{a_k}$ for distinct primes p_1, p_2, \ldots, p_k is defined as [1],

$$\mu(x) = \begin{cases} 1 & \text{for } x = 1\\ (-1)^k & \text{if } a_1 = \dots = a_k = 1\\ 0 & \text{otherwise} . \end{cases}$$
(3.6)

and that the Euler function $\phi(x)$ denotes the number of integers $y, 1 \le y \le x - 1$ that are relatively prime with x. By convention $\phi(1) = 1$.

LEMMA 3.2. Let g = gcd(m+1, w+1). The cardinality of $S_{w,k}^{m,w+1}$ is

$$|S_{w,k}^{m,w+1}| = \frac{1}{m+1} \sum_{d|g} \left(\begin{array}{c} \frac{m+1}{d} \\ \frac{w+1}{d} \end{array} \right) (-1)^{(w+1)(1+\frac{1}{d})} \phi(d) \frac{\mu\left(\frac{d}{gcd(d,k)}\right)}{\phi\left(\frac{d}{gcd(d,k)}\right)}$$
(3.7)

where gcd(d, k) is the greatest common divisor of d and k, interpreted as d if k = 0.

Proof. Motivated by the analysis of Sloane [7] of the Varshamov-Tenengolts codes, let us introduce the function $f_{b,n}(U, V)$ in which the coefficient of $U^s V^k$, call it $g_{k,s}^b(n)$ represents the number of strings of length n, weight s and the first moment equal to $k \mod b$ (i.e. $g_{k,s}^b(n) = |S_{s,k}^{n,b}|$,

$$f_{b,n}(U,V) = \sum_{k=0}^{b-1} \sum_{s=0}^{n} g_{k,s}^{b}(n) U^{s} V^{k}.$$
(3.8)

Observe that $f_{b,n}(U, V)$ can be written as a generating function

$$f_{b,n}(U,V) = \prod_{t=1}^{n} (1+UV^t) \mod (V^b - 1).$$
(3.9)

Let $a = e^{i\frac{2\pi}{b}}$ so that for $V = a^j$

$$f_{b,n}(U,e^{i\frac{2\pi j}{b}}) = \sum_{k=0}^{b-1} \sum_{s=0}^{n} g_{k,s}^{b}(n) U^{s} e^{i\frac{2\pi jk}{b}} .$$
(3.10)

By inverting this expression we can write

$$\sum_{s=0}^{n} g_{k,s}^{b}(n) U^{s}$$

$$= \frac{1}{b} \sum_{j=0}^{b-1} f_{b,n}(U, e^{i\frac{2\pi j}{b}}) e^{-i\frac{2\pi jk}{b}}$$

$$= \frac{1}{b} \sum_{j=0}^{b-1} \prod_{t=1}^{n} (1 + Ue^{i\frac{2\pi jt}{b}}) e^{-i\frac{2\pi jk}{b}}.$$
(3.11)

Our next goal is to evaluate the coefficient U^b on the right hand side in (3.11). To do so we first evaluate the following expression

$$\prod_{t=1}^{b} (1 + Ue^{i\frac{2\pi jt}{b}}) .$$
(3.12)

Let $d_j = b/gcd(b, j)$ and $s_j = j/gcd(b, j)$, and write

$$\prod_{t=1}^{b} (1 + Ue^{i\frac{2\pi jt}{b}})$$

$$= \left(\prod_{t=1}^{d_{j}} (1 + Ue^{i\frac{2\pi s_{j}t}{d_{j}}}) \right)^{gcd(b,j)}$$

$$= \left(1 + U\sum_{t_{1}=1}^{d_{j}} e^{i\frac{2\pi s_{j}t_{1}}{d_{j}}} + U^{2}\sum_{t_{1}=1}^{d_{j}} \sum_{t_{2}=t_{1}+1}^{d_{j}} e^{i\frac{2\pi s_{j}(t_{1}+t_{2})}{d_{j}}} + \dots + U^{d_{j}} e^{i\frac{2\pi s_{j}(1+2+\dots+d_{j})}{d_{j}}} \right)^{gcd(b,j)} .$$

$$(3.13)$$

Since $gcd(d_j, s_j) = 1$, the set

$$V = \left\{ e^{i\frac{2\pi s_j 1}{d_j}}, e^{i\frac{2\pi s_j 2}{d_j}} \dots e^{i\frac{2\pi s_j d_j}{d_j}} \right\}$$
(3.14)

represents all distinct solutions of the equation

$$x^{d_j} - 1 = 0. (3.15)$$

For a polynomial equation P(x) of degree d, the coefficient multiplying x^k is a scaled symmetric function of d - k roots. Hence, by (3.15), symmetric functions involving at most $d_j - 1$ elements of V evaluate to zero. The symmetric function involving all elements of V, which is their product, evaluates to $(-1)^{d_j+1}$.

Therefore,

$$\prod_{t=1}^{b} (1 + Ue^{i\frac{2\pi jt}{b}}) = \left(1 + (-1)^{1+d_j} U^{d_j}\right)^{gcd(b,j)}.$$
(3.16)

Returning to the inner product in (3.11), let us first suppose that b|n. Then

$$\prod_{t=1}^{n} \left(1 + Ue^{i\frac{2\pi jt}{b}} \right)$$

$$= \left(\prod_{t=1}^{b} \left(1 + Ue^{i\frac{2\pi jt}{b}} \right) \right)^{n/b}$$

$$= \left(1 + (-1)^{1+d_j} U^{d_j} \right)^{gcd(b,j)n/b}$$

$$= \sum_{l=0}^{\frac{n}{d_j}} \left(\frac{n}{d_j} \right) (-1)^{l(1+d_j)} U^{ld_j} .$$

$$(3.17)$$

Thus (3.11) becomes

$$\sum_{s=0}^{n} g_{k,s}^{b}(n) U^{s} = \frac{1}{b} \sum_{j=0}^{b-1} \sum_{l=0}^{\frac{n}{d_{j}}} \begin{pmatrix} \frac{n}{d_{j}} \\ l \end{pmatrix} (-1)^{l(1+d_{j})} U^{d_{j}l} e^{-i\frac{2\pi jk}{b}} .$$
(3.18)

We now regroup the terms whose j's yield the same d_j 's

$$\sum_{s=0}^{n} g_{k,s}^{b}(n) U^{s} = \frac{1}{b} \sum_{d|b} \sum_{l=0}^{\frac{n}{d}} {\binom{n}{d}} (-1)^{l(1+d)} U^{dl} \times \sum_{j:gcd(j,b)=b/d, 0 \le j \le b-1} e^{-i\frac{2\pi jk}{b}}.$$
 (3.19)

The rightmost sum can also be written as

$$\sum_{j:gcd(j,b)=b/d, 0 \le j \le b-1} e^{-i\frac{2\pi jk}{b}} = \sum_{s:0 \le s \le d-1, gcd(s,d)=1} e^{-i\frac{2\pi sk}{d}} .$$
 (3.20)

This last expression is known as the Ramanujan sum [1] and simplifies to

$$\sum_{0 \le s \le d-1, gcd(s,d)=1} e^{-i\frac{2\pi sk}{d}} = \phi(d) \frac{\mu\left(\frac{d}{gcd(d,k)}\right)}{\phi\left(\frac{d}{gcd(d,k)}\right)} .$$
(3.21)

Now the coefficient of U^b in (3.11) is

s

$$\frac{1}{b} \sum_{d|b} \left(\begin{array}{c} \frac{n}{d} \\ \frac{b}{d} \end{array} \right) (-1)^{\frac{b}{d}(1+d)} \phi(d) \frac{\mu\left(\frac{d}{gcd(d,k)}\right)}{\phi\left(\frac{d}{gcd(d,k)}\right)} , \qquad (3.22)$$

which is precisely the number of strings of length n, weight b, and the first moment congruent to k mod b, i.e. $|S_{b,k}^{n,b}|$.

Consider the set of strings described by $S_{w,k}^{m,w+1}$ for m = n - 1 and w = b - 1, i.e. $S_{w,k}^{m,w+1} = S_{b-1,k}^{n-1,b}$. If we append '1' to each such string we would obtain a fraction of b/nof all strings that belong to the set $S_{b,k}^{n,b}$. To see why this is true, first note that the cardinality of the set $S_{b-1,k}^{n-1,b}$ and of the subset $T_{b,k}^n$ of $S_{b,k}^{n,b}$ which contains all strings ending in '1' is the same (since when a '1' is appended to each element of the set $S_{b-1,k}^{n-1,b}$, the resulting set contains strings of length n, weight b and first moment congruent to $(k+n) \mod b$, which is also congruent to $k \mod b$ since by assumption b|n). It is thus sufficient to show that $|T_{b,k}^n| = \frac{b}{n} |S_{b,k}^{n,b}|$. Let $A_k = |S_{b,k}^{n,b}|$. Write $A_k = \sum_{u,u|b} A_k(n,b,\frac{n}{u})$, where $A_k(n,b,v)$ denotes the number of strings of length n, weight b, first moment congruent to $k \mod b$, and with period v. Consider a string accounted for in $A_k(n, b, \frac{n}{u})$. Its single cyclic shift has the first moment congruent to $(k+b) \mod b$ and is thus also accounted for in $A_k(n, b, \frac{n}{u})$. Since $\frac{n}{u}$ is the period, and since $\frac{b}{u}$ is the weight per period, fraction $\frac{b/u}{n/u}$ of $A_k(n, b, \frac{n}{u})$ represents distinct strings that end in '1', have length n, weight b, first moment congruent to $k \mod b$, and period $\frac{n}{u}$. Thus, $|T_{b,k}^n| = \sum_{u,u|b} \frac{b/u}{n/u} A_k(n, b, \frac{n}{u}) = \frac{b}{n} A_k$, as required. Therefore, the cardinality of $S_{w,k}^{m,w+1}$ is b/n times the expression in (3.22),

$$|S_{w,k}^{m,w+1}| = \frac{1}{m+1} \sum_{d|w+1} \left(\begin{array}{c} \frac{m+1}{d} \\ \frac{w+1}{d} \end{array} \right) (-1)^{\frac{w+1}{d}(1+d)} \phi(d) \frac{\mu\left(\frac{d}{gcd(d,k)}\right)}{\phi\left(\frac{d}{gcd(d,k)}\right)} .$$
(3.23)

Notice that the last expression is the same as the one proposed in Lemma 3.2 with gcd(m+1, w+1) = w+1.

Now suppose that b is not a factor of n. We work with $f_{q,n}(U, V)$ as in (3.9) where g = gcd(n, b) and get

$$\sum_{s=0}^{n} g_{k,s}^{g}(n) U^{s} = \frac{1}{g} \sum_{d|g} \sum_{l=0}^{\frac{n}{d}} \left(\begin{array}{c} \frac{n}{d} \\ l \end{array} \right) (-1)^{l(1+d)} U^{dl} \times \sum_{j:gcd(j,g)=g/d, 0 \le j \le g-1} e^{-i\frac{2\pi jk}{g}} .$$
(3.24)

Thus the coefficient of U^b here is

$$\frac{1}{g}\sum_{d|g} \begin{pmatrix} \frac{n}{d} \\ \frac{b}{d} \end{pmatrix} (-1)^{\frac{b}{d}(1+d)} \phi(d) \frac{\mu\left(\frac{d}{gcd(d,k)}\right)}{\phi\left(\frac{d}{gcd(d,k)}\right)} .$$
(3.25)

This is the number of strings of length n, weight b, and the first moment congruent to $k \mod g$, namely it is the cardinality of the set $S_{b,k}^{n,g}$. Let $B_k = |S_{b,k}^{n,g}|$. Write $B_k = \sum_{u,u|g} B_k(n, b, \frac{n}{u})$ where $B_k(n, b, v)$ denotes the number of strings of length n, weight b, first moment congruent to $k \mod g$ and with period v. By cyclically shifting a string of length n, weight b, first moment congruent to $k \mod g$ and with period n/u for n/u steps, and observing that each cyclic shift also has the first moment congruent to $k \mod g$, it follows that a fraction $\frac{b/u}{n/u}$ of $B_k(n, b, \frac{n}{u})$ represents the number of strings that end in '1', have length n, weight b, first moment congruent to $k \mod g$, and period $\frac{n}{u}$. Thus a fraction b/n of B_k denotes the number of strings that end in '1', are of length n, weight b, and have the first moment congruent to $k \mod g$. Since each string of length n - 1, weight b - 1, and the first moment congruent to $k \mod g$ produces a unique string that ends in '1', is of length n, weight b, and has the first moment congruent to $k \mod g$ by appending '1', it follows that $\frac{b}{n}B_k$ is also the number of strings of length n - 1, weight b - 1, and the first moment congruent to $k \mod g$. Thus the number of strings given by $S_{b-1,k}^{n-1,g}$ is also $\frac{b}{n}B_k$.

Consider again cyclic shifts of a string of length n, weight b, the first moment congruent to k mod g and with period n/u. A fraction b/u of these shifts produce strings with a '1' in the last position. Let us consider one such string s_0 . Its first n-1 bits correspond to a string of length n-1, weight b-1, and the first moment congruent to $k \mod q$. This n-1-bit string has the first moment congruent to $k_0 \mod b$ for some k_0 . Cyclically shift s_0 for t_1 places until the first time '1' again appears in the *n*th position, and call the resulting string s_1 (Since b > g and u|g, b/u > 1, and thus $s_1 \neq s_0$). The first n-1 bits of s_1 correspond to a string of length n-1, weight b-1, and the first moment congruent to $k_1 \equiv k_0 + t_1(b-1) + t_1 - n$ mod $g \equiv k_0 + t_1 b - n \mod b \equiv k_0 - gy \mod b$, where $y = \frac{n}{q}$. Cyclically shift s_1 for for t_2 places until the first time '1' again appears in the *n*th position, and call the resulting string s_2 . The first n-1 bits of s_2 correspond to a string of length n-1, weight b-1, and the first moment congruent to $k_2 \equiv k_0 - gy + t_2(b-1) + t_2 - n \mod g \equiv k_0 - gy + t_2b - n$ mod $b \equiv k_0 - 2gy \mod b$. Each subsequent cyclic shift with '1' in the last place gives a string s_i whose first n-1 bits have the first moment congruent to $k_i \equiv k_0 - igy \mod b$. The last such string, $s_{b/u-1}$, before the string s_0 is encountered again has the left n-1bit substring whose first moment is congruent to $k_{b/u-1} \equiv k_0 - (\frac{b}{u} - 1)gy \mod b$. Note that the sequence $\{k_0, k_1, k_2, \dots, k_{b/u-1}\}$ is periodic with period z (here gcd(y, g) = 1 by construction), where $z = \frac{b}{g}$. Since $z | \frac{b}{u}$, each of k_0, k_1 through $k_{\frac{b}{g}-1}$ appear equal number of times in this sequence. Consequently, the number of strings in the set $S_{b-1,k_i}^{n-1,b}$ is $\frac{g}{b}$ of the size of the set $S_{b-1,k}^{n-1,g}$ for every $k_i \equiv ig + k \mod b$.

Therefore $|S_{w,k}^{m,w+1}|$ is

$$|S_{w,k}^{m,w+1}| = \frac{b}{n} \frac{g}{b} |S_{b,k}^{n,g}| = \frac{1}{m+1} \sum_{d|g} \left(\frac{m+1}{\frac{w+1}{d}} \right) (-1)^{(w+1+\frac{1}{d}(1+w))} \phi(d) \frac{\mu(\frac{d}{gcd(d,k)})}{\phi(\frac{d}{gcd(d,k)})}$$
(3.26)

which completes the proof of the lemma.

3.2. Connection with necklaces. It is interesting to briefly visit the relationship between optimal single insertion of a zero correcting codes and combinatorial objects known as necklaces [10].

A necklace consisting of n beads can be viewed as an equivalence class of strings of length n under cyclic shift (rotation).

Let us consider two-colored necklaces of length n with b black beads and n - b white

beads. It is known that the total number of distinct necklaces is [10]

$$T(n) = \frac{1}{n} \sum_{d|gcd(n,b)} \left(\begin{array}{c} \frac{n}{d} \\ \frac{b}{d} \end{array}\right) \phi(d) .$$
(3.27)

In general necklaces may exhibit periodicity. However, consider, for example for the case gcd(n,b) = 1. Then there are

$$\frac{1}{n} \left(\begin{array}{c} n \\ b \end{array} \right) \tag{3.28}$$

distinct necklaces, all of which are aperiodic. Now assume that b + 1|n and note that this implies gcd(n+1, b+1) = 1. Suppose we label each necklace beads in the increasing order 1 through n and we rotate each necklace by one position at the time relative to this labeling. At each step we sum mod b + 1 the positions of b black beads. For each necklace, each of residues $k, 0 \le k \le b$ is encountered n/(b+1) times. The total number of times each residue k is encountered is thus

$$\frac{1}{b+1} \begin{pmatrix} n \\ b \end{pmatrix} = \frac{1}{n+1} \begin{pmatrix} n+1 \\ b+1 \end{pmatrix},$$
(3.29)

which as expected equals the number of binary strings of weight b, length n, and the first moment congruent to $k \mod b + 1$ (same for all k).

4. Multiple Repetition Error Correcting Set. We now present an explicit construction of a multiple repetition error correcting set and discuss its cardinality.

Let $\mathbf{a} = (a_1, a_2, ..., a_r)$ for $r \ge 1$, and consider the set $\hat{S}(m, w, \mathbf{a}, p)$ for $w \ge 1$ defined as

$$\hat{S}(m, w, \mathbf{a}, p) = \{ \mathbf{s} = (s_1, s_2, \dots s_m) \in \{0, 1\}^m : \\
v_0 = 0, v_{w+1} = m + 1, \text{ and} \\
v_i \text{ is the position of the } i^{\text{th}} 1 \text{ in s for } 1 \leq i \leq w, \\
b_i = v_i - v_{i-1} - 1, \text{ for } 1 \leq i \leq w + 1, \\
\sum_{i=1}^m s_i = w, \\
\sum_{i=1}^{w+1} ib_i \equiv a_1 \mod p, \\
\sum_{i=1}^{w+1} i^2 b_i \equiv a_2 \mod p, \\
\vdots \\
\sum_{i=1}^{w+1} i^r b_i \equiv a_r \mod p \}.$$
(4.1)

The set $\hat{S}(m, 0, 0, p)$ contains just the all-zeros string. Let $\mathbf{a_0} = \mathbf{0}$ and let $\hat{S}(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), ..., (\mathbf{a_m}, p_m))$ be defined as

$$\hat{S}(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), ..., (\mathbf{a_m}, p_m)) = \bigcup_{l=0}^{m} \hat{S}(m, l, \mathbf{a_l}, p_l),$$
(4.2)

where b_1, \ldots, b_{w+1} denote the sizes of the *bins* of 0's between successive 1's.

LEMMA 4.1. If each p_l is prime and $p_l > max(r, l)$, the set $\hat{S}(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), ..., (\mathbf{a_m}, p_m))$, provided it is non empty, is r-insertions of zeros correcting.

Proof. It suffices to show that each non empty set $\hat{S}(m, l, \mathbf{a}_l, p_l)$ is *r*-insertions of zeros correcting. This is obvious for l = 0. For l > 0 suppose a string $\mathbf{x} \in \hat{S}(m, l, \mathbf{a}_l, p_l)$ is

transmitted. After experiencing r insertions of zeros, it is received as a string \mathbf{x}' . We now show that \mathbf{x} is always uniquely determined from \mathbf{x}' .

Let $i_1 \leq i_2 \leq ... \leq i_r$ be the (unknown) indices of the bins of zeros that have experienced insertions. For each $j, 1 \leq j \leq r$, compute $a'_j \equiv \sum_{i=1}^{w+1} i^j b'_i \mod p_l$, where b'_i is the size of the *i*th bin of zeros of \mathbf{x}' ,

$$\begin{aligned} a'_{j} &\equiv \sum_{i=1}^{w+1} i^{j} b'_{i} \bmod p_{l} \\ &\equiv a_{j} + (i^{j}_{1} + i^{j}_{2} + \ldots + i^{j}_{r}) \bmod p_{l}, \end{aligned}$$

$$(4.3)$$

where a_j is the j^{th} entry in the residue vector \mathbf{a}_l (to lighten the notation the subscript l in a_j is omitted).

By collecting the resulting expressions over all j, and setting $a_j'' \equiv a_j' - a_j \mod p_l$, we arrive at

$$E_{r} = \begin{cases} a_{1}^{''} \equiv i_{1} + i_{2} + \dots + i_{r} \mod p_{l} \\ a_{2}^{''} \equiv i_{1}^{2} + i_{2}^{2} + \dots + i_{r}^{2} \mod p_{l} \\ \dots \dots \dots \\ a_{t}^{''} \equiv i_{1}^{t} + i_{2}^{t} + \dots + i_{r}^{r} \mod p_{l}. \end{cases}$$
(4.4)

The terms on the right hand side of the congruency constraints are known as power sums in r variables. Let S_k denote the k^{th} power sum mod p_l of $\{i_1, i_2, ..., i_r\}$,

$$S_k \equiv i_1^k + i_2^k + \dots + i_r^k \mod p_l,$$
(4.5)

and let Λ_k denote the k^{th} elementary symmetric function of $\{i_1, i_2, ..., i_r\} \mod p_l$,

$$\Lambda_k \equiv \sum_{v_1 < v_2 < \dots < v_k} i_{v_1} i_{v_2} \cdots i_{v_k} \mod p_l.$$

$$(4.6)$$

Using Newton's identities over $GF(p_l)$ which relate power sums to symmetric functions of the same variable set, and are of the type

$$S_k - \Lambda_1 S_{k-1} + \Lambda_2 S_{k-2} - \dots + (-1)^{k-1} \Lambda_{k-1} S_1 + (-1)^k k \Lambda_k = 0,$$
(4.7)

for $k \leq r$, we can obtain an equivalent system of r equations:

$$\widetilde{E}_{t} = \begin{cases} d_{1} \equiv \sum_{j=1}^{r} i_{j} \mod p_{l} \\ d_{2} \equiv \sum_{j < k} i_{j} i_{k} \mod p_{l} \\ \dots \\ d_{t} \equiv \prod_{j=1}^{r} i_{j} \mod p_{l}, \end{cases}$$

$$(4.8)$$

where each residue d_k is computed recursively from $\{d_1, ..., d_{k-1}\}$ and $\{a_1'', a_2'', ..., a_k''\}$. Specifically, since the largest coefficient in (4.7) is r, and $r < p_l$ by construction, the last term in (4.7) never vanishes due to the multiplication by the coefficient k.

Consider now the following equation:

$$\prod_{j=1}^{r} (x - i_j) \equiv 0 \mod p_l, \tag{4.9}$$

and expand it into the standard form

$$x^{r} + c_{r-1}x^{r-1} + \dots + c_{1}x + c_{0} \equiv 0 \mod p_{l}.$$
(4.10)

By collecting the same terms in (4.9) and (4.10), it follows that $d_k \equiv (-1)^k c_{r-k} \mod p_l$. Furthermore, by the Lagrange's Theorem, the equation (4.10) has at most r solutions. Since $i_r \leq p_l$ all incongruent solutions are distinguishable, and thus the solution set of (4.10) is precisely the set $\{i_1, i_2, ..., i_r\}$.

Therefore, since the system E_r of r equations uniquely determines the set $\{i_1, i_2, ..., i_r\}$, the locations of the inserted zeros (up to the position within the bin they were inserted in) are uniquely determined, and thus x is always uniquely recovered from \mathbf{x}' .

Hence, $\hat{S}(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), ..., (\mathbf{a_m}, p_m))$ is *r*-insertions of zeros correcting for p_l prime and $p_l > \max(r, l)$.

In particular, for r = 1, the constructions in (3.1) and (4.1) are related as follows.

LEMMA 4.2. For p prime and p > w, the set $S_{w,a}^{m,p}$ defined in (3.1) equals the set $\hat{S}(m, w, \hat{a}, p)$ defined in (4.1), where $\hat{a} = f_{m,w} - a \mod p$ for $f_{m,w} = (w+2)(2m-w+1)/2 - (m+1)$.

Proof. Consider a string $\mathbf{s} = (s_1, s_2, ..., s_m) \in S_{w,a}^{m,p}$, and let v_i be the position of the i^{th} 1 in \mathbf{s} , so that $\sum_{i=1}^{m} is_i = \sum_{i=1}^{w} v_i$. Observe that $v_k = \sum_{i=1}^{k} b_i + k$ where b_i is the size of the i^{th} bin of zeros in \mathbf{s} . Write

$$\sum_{i=1}^{w} v_i + (m+1) = (b_1+1) + (b_1+b_2+2) + \dots + (b_1+b_2+\dots+b_w+w) + (b_1+b_2+\dots+b_{w+1}+w+1) = \sum_{i=1}^{w+1} (w+2-i)b_i + (w+1)(w+2)/2 = (4.11)$$

$$(w+2)(m-w) + (w+1)(w+2)/2 - \sum_{i=1}^{w+1} ib_i = (w+2)(2m-w+1)/2 - \sum_{i=1}^{w+1} ib_i.$$

Thus, for $a \equiv \sum_{i=1}^{m} is_i \mod p$, the quantity $\hat{a} \equiv \sum_{i=1}^{w+1} ib_i \mod p$ is $(f_{m,w} - a) \mod p$. Observe that the indices $i = 1, \ldots, (w+1)$ in (4.1) play the role of the "weightings" of

Observe that the indices i = 1, ..., (w + 1) in (4.1) play the role of the "weightings" of the appropriate bins of zeros in the construction above, and that they do not necessarily have to be in the increasing order for the construction and the validity of the proof to hold. We can therefore replace each of i in (4.1) with the weighting f_i with the property that each f_i is a residue mod p and that $f_i \neq f_j$ for $i \neq j$. Let $\hat{S}(m, w, \mathbf{a}, \mathbf{f}, p)$ for $w \ge 1$ be defined as

$$\hat{\hat{S}}(m, w, \mathbf{a}, \mathbf{f}, p) = \{ \mathbf{s} = (s_1, s_2, \dots s_m) \in \{0, 1\}^m : \\
v_0 = 0, v_{w+1} = m + 1, \text{ and} \\
v_i \text{ is the position of the } i^{\text{th}} 1 \text{ in s for } 1 \le i \le w, \\
b_i = v_i - v_{i-1} - 1 \text{ for } 1 \le i \le w + 1, \\
\sum_{i=1}^m s_i = w, \\
f_i \mod p \ne f_j \mod p \text{ for } i \ne j, \\
\sum_{i=1}^{w+1} f_i b_i \equiv a_1 \mod p, \\
\sum_{i=1}^{w+1} (f_i)^2 b_i \equiv a_2 \mod p, \\
\vdots \\
\sum_{i=1}^{w+1} (f_i)^r b_i \equiv a_r \mod p \}.$$
(4.12)

The set $\hat{\hat{S}}(m, 0, \mathbf{0}, \mathbf{0}, p)$ contains just the all-zeros string. Let $\mathbf{a}_{\mathbf{0}} = \mathbf{0}$ and let $\hat{\hat{S}}(m, (\mathbf{a}_{1}, \mathbf{f}_{1}, p_{1}), (\mathbf{a}_{2}, \mathbf{f}_{2}, p_{2}), ..., (\mathbf{a}_{m}, \mathbf{f}_{m}, p_{m}))$ be defined as

$$\hat{\hat{S}}(m, (\mathbf{a_1}, \mathbf{f_1}, p_1), (\mathbf{a_2}, \mathbf{f_2}, p_2), \dots, (\mathbf{a_m}, \mathbf{f_m}, p_m)) = \bigcup_{l=0}^{m} \hat{\hat{S}}(m, l, \mathbf{a_l}, \mathbf{f_l}, p_l).$$
(4.13)

We note that $\hat{S}(m, w, \mathbf{a}, \mathbf{f}, p) = \hat{S}(m, w, \mathbf{a}, p)$ when $\mathbf{f} = (1, 2, \dots, (w+1))$.

LEMMA 4.3. If each p_l is prime and $p_l > max(r, l)$, the set $\hat{S}(m, (\mathbf{a_1}, \mathbf{f_1}, p_1), (\mathbf{a_2}, \mathbf{f_2}, p_2), ..., (\mathbf{a_m}, \mathbf{f_m}, p_m))$ is r-insertions of zeros correcting.

Proof. The proof follows that of Lemma 4.1 with appropriate substitutions of f_i for i.

The object $\hat{S}(m, w, \mathbf{a}, \mathbf{f}, p)$ will be of further interest to us in Section 5.2 when we discuss a prefixing method for improved immunity to repetition errors.

We now present some cardinality results for the construction of present interest. For simplicity we focus on the set $\hat{S}(m, w, \mathbf{a}, p)$ as the results hold verbatim for $\hat{S}(m, w, \mathbf{a}, \mathbf{f}, p)$ with appropriate weighting assignments.

4.1. Cardinality Results. Let $\hat{S}^*(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), ..., (\mathbf{a_m}, p_m))$ be defined as

$$\hat{S}^{*}(m, (\mathbf{a_{1}}, p_{1}), (\mathbf{a_{2}}, p_{2}), ..., (\mathbf{a_{m}}, p_{m})) = \bigcup_{l=0}^{m} \hat{S}(m, l, \mathbf{a_{l}}^{*}, p_{l}).$$
(4.14)

where $\hat{S}(m, l, \mathbf{a_l}^*, p_l)$ is the largest among all sets $\hat{S}(m, l, \mathbf{a_l}, p_l)$ for $\mathbf{a_l} \in \{0, 1, \dots, p_l\}^r$. The cardinality of $\hat{S}(m, l, \mathbf{a_l}^*, p_l)$ is at least

$$\left(\begin{array}{c}m\\l\end{array}\right)\frac{1}{p_l^r}\,.\tag{4.15}$$

Since for all n there exists a prime between n and 2n it follows that one can choose the p_l , $1 \le l \le m$, so that cardinality of $\hat{S}(m, l, \mathbf{a_l}^*, p_l)$ for $l \ge r$ is at least

$$\left(\begin{array}{c}m\\l\end{array}\right)\frac{1}{(2l)^r}\,.\tag{4.16}$$

Thus p_1, \ldots, p_m can be chosen so that the cardinality of $\hat{S}^*(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), \ldots, (\mathbf{a_m}, p_m))$ is at least

$$1 + \sum_{w=1}^{r-1} \binom{m}{w} \frac{1}{(2r)^r} + \sum_{w=r}^m \binom{m}{w} \frac{1}{(2w)^r}, \qquad (4.17)$$

which is lower bounded by

$$1 + \frac{1}{(2r)^r} \sum_{w=1}^{r-1} \binom{m}{w} + \frac{1}{(2^r)(m+1)(m+2)\dots(m+r)} \left(2^{m+r} - \sum_{k=0}^{2r-1} \binom{m+r}{k} \right).$$
(4.18)

The prime counting function $\pi(n)$ which counts the number of primes up to n, satisfies for $n \ge 67$ the inequalities [11]

$$\frac{n}{\ln(n) - 1/2} < \pi(n) < \frac{n}{\ln(n) - 3/2} .$$
(4.19)

From (4.19) it follows that

$$\frac{(1+\epsilon)n}{\ln((1+\epsilon)n) - 1/2} < \pi((1+\epsilon)n) < \frac{(1+\epsilon)n}{\ln((1+\epsilon)n) - 3/2}.$$
(4.20)

For a prime number to exist between n and $(1 + \epsilon)n$, it is sufficient to have

$$\pi((1+\epsilon)n) > \pi(n) . \tag{4.21}$$

Using (4.19) and (4.20) it is sufficient to have

$$\pi((1+\epsilon)n) > \frac{(1+\epsilon)n}{\ln((1+\epsilon)n) - 1/2} \ge \frac{n}{\ln(n) - 3/2} > \pi(n) .$$
(4.22)

Comparing the innermost terms in (4.22) it follows that it is sufficient for ϵ to satisfy

$$\epsilon \ln(n) \ge \ln(1+\epsilon) + \frac{3\epsilon}{2} + 1 \tag{4.23}$$

for (4.21) to hold.

For $n \ge 67$ and $\epsilon = \frac{3}{\ln(n)}$, the left hand side of (4.1) evaluates to 3 while the right hand side of (4.1) is upper bounded by (0.539 + 1.071 + 1) < 3.

Since $\pi(n)$ is a non-decreasing function of n, it follows that for $n \ge 67$, there exists a prime between n and $(1 + \epsilon)n$ for $\epsilon \ge \frac{3}{\ln(n)}$. Thus the lower bound on the asymptotic cardinality of the best choice over p_1, \ldots, p_m of $\hat{S}^*(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), \ldots, (\mathbf{a_m}, p_m))$ can be improved to

$$\frac{1}{(1+\epsilon)^r (m+1)(m+2)\dots(m+r)} \left(2^{m+r}\right) - P(m), \tag{4.24}$$

where $\epsilon = \frac{3}{\ln m}$ and P(m) is a polynomial in m. In the limit $m \to \infty$, (4.24) is approximately

$$\frac{2^{m+r}}{(m+1)^r} \,. \tag{4.25}$$

A construction proposed by Levenshtein [9] has the lower asymptotic bound on the cardinality given by

$$\frac{1}{(\log_2 2r)^r} \frac{2^m}{m^r} \,. \tag{4.26}$$

Note that both (4.17) and the improved bound (4.24) improve on (4.26) by at least a constant factor.

The upper bound $U_r(m)$ on any set of strings each of length m capable of overcoming r insertions of zero is

$$U_r(m) = c(r)\frac{2^m}{m^r},$$
(4.27)

as obtained in [9], where

$$c(r) = \begin{cases} 2^{r} r! & \text{odd } r \\ 8^{r/2} ((r/2)!)^{2} & \text{even } r \end{cases}$$
(4.28)

which makes the proposed construction be within a factor of this bound. By applying the inverse T_n transformation for n = m + 1 to $\hat{S}^*(m, (\mathbf{a_1}, p_1), (\mathbf{a_2}, p_2), ..., (\mathbf{a_m}, p_m))$ and noting that both strings under the inverse T_n transformation can simultaneously belong to the repetition error correcting set, we obtain a code of length n capable of overcoming rrepetitions and of asymptotic size at least

$$\frac{2^{n+r}}{n^r} \,. \tag{4.29}$$

5. Prefixing-based Method for Multiple Repetition Error Correction. In this section we develop a general prefixing method which injectively transforms a given collection S of binary strings of length n into another collection T_S of binary strings of equal length, such that the collection T_S is guaranteed to be immune to the prescribed number of repetition errors. The proposed method is inspired by the number-theoretic construction developed in the previous section. It takes an element s of S and produces a string $\mathbf{t_s} = [\mathbf{p_ss}], \mathbf{t_s} \in T_S$, that is, the prefix $\mathbf{p_s}$ is prepended to s to produce $\mathbf{t_s}$, such that the string $\mathbf{t_s}$ under transformation (2.1) satisfies the set of conditions given by (4.12). In the proposed method, the set T_S has the property that the length of the prefix $\mathbf{p_s}$ is $O(\log(n))$. Thus, if the set S is used for transmission, the proposed method provides increased immunity to repetition errors with asymptotically vanishing loss in the rate.

We start with some auxiliary results.

5.1. Auxiliary results. Consider a prime number P with the property that lcm(2, 3, ...r)|(P-1) for a given positive integer r. Since each $i, 1 \le i \le r$, satisfies i|(P-1), it follows that in the residue set mod P, there are $\frac{P-1}{i}$ elements that are *i*th power residues, each having i distinct roots (an *i*th power residue x satisfies $y^i \equiv x \mod P$ for some y), [1]. For convenience, let $G = \lfloor \log_2(P) \rfloor$.

For each $i, 1 \le i \le r$, we will construct a specific subset V_i of the *i*th power residues mod P such that all other residues can be expressed as a sum of a subset of elements of V_i , and such that each V_i has size that is logarithmic in P. The set of the *i*th roots of the elements of the set V_i will be denoted F_i . Thus, F_i will also have size logarithmic in P. The elements of $M = \bigcup_{i=1}^r F_i \cup \{0\}$ (the sets F_i will be made disjoint) will be reserved for the weightings f_i of the bins of zeros of the prefix string \mathbf{p}_s in the transformed domain (see the construction (4.12)). Note that M also has size that is logarithmic in P, and since each bin in the prefix will have at most one zero, the length of the prefix is also logarithmic in P. The sets V_i will serve to satisfy the *i*th congruency constraint of the type given in (4.12) for the string \mathbf{t}_s in the transformed domain, as further explained below.

In the remainder of this section we will first show how to construct sets V_i , and then we will provide the proof that it is possible to construct sets V_i with all distinct elements as well as sets F_i (from sets V_i) that have distinct elements and are non intersecting, for the prime P large enough. We will also provide a proof that for a given integer n, for n large enough, there exists a prime P for which we can construct non intersecting sets F_i containing distinct elements, where the prime P lies in an interval that linearly depends on n.

Combined with the encoding method described in the next section we will therefore have constructed a prefix whose length is logarithmic in n such that the overall string (which is a concatenation of the prefix and original string) in the transformed domain satisfies equations of congruential type given in (4.12), which we have already proved in Section 4 are sufficient for the immunity to r repetition errors.

We now provide some auxiliary results. Let $[\boldsymbol{x}]_P$ indicate the residue mod P congruent to \boldsymbol{x} .

LEMMA 5.1. For an integer P, each residue v mod P can be expressed as a sum of a subset of elements of the set $T_{z,P} = \{[z]_P, [2z]_P, [2^2z]_P, ..., [2^Gz]_P\}$ where $G = \lfloor \log_2 P \rfloor$, z is an arbitrary non zero residue mod P.

Proof. Observe that $T_{1,P} = \{1, 2, 2^2, ..., 2^G\}$. We first show that each residue $v \mod P$ can be expressed as a sum of a subset of elements of the set $T_{1,P}$. Note that each residue $i, 0 \le i \le 2^G - 1 \pmod{P}$ can be expressed as a sum of a subset, call this subset Q_i , of the set $\{1, 2, 2^2, ..., 2^{G-1}\}$. Here Q_0 is the empty set. Adding 2^G to the sum of each Q_i , for $0 \le i \le 2^G - 1$, modulo P generates the remaining residues $\{2^G, 2^G + 1, ..., P - 1\}$. As a result every residue mod P can be expressed as a sum of a subset of $T_{1,P} = \{1, 2, 2^2, ..., 2^G\}$.

Suppose there exists an element v which cannot be expressed as a sum of a subset of elements of $T_{z,P}$, for z > 1, that is $v \neq \sum_{i=0}^{G} \epsilon_i z 2^i \mod P$, for all choices of $\{\epsilon_0, ..., \epsilon_G\}$, $\epsilon_i \in \{0,1\}$. Let z^{-1} be the inverse element of z under multiplication mod P. Then the residue $v' = vz^{-1} \neq \sum_{i=0}^{G} \epsilon_i 2^i \mod P$, for all choices of $\{\epsilon_0, ..., \epsilon_G\}$, $\epsilon_i \in \{0,1\}$, which contradicts the result from the previous paragraph.

For a prime number P for which i|P-1, and i < P-1, let $Q_i(P)$ be the set of distinct *i*th power residues mod P. We also state the following convenient result.

LEMMA 5.2. For a prime P such that i|(P-1), each residue $u \mod P$ can be expressed as a sum of two distinct elements of $Q_i(P)$ in at least $P/(2i^2) - \sqrt{P}/2 - 3$ ways.

Proof. The result follows from Theorem II in [3] which states that over GF(P) the equation

$$x^i + y^i = a \tag{5.1}$$

where $x, y, a \in GF(P)$ and nonzero and 0 < i < P - 1 has at least

$$\frac{(P-1)^2}{P} - P^{-1/2} \left(1 + (i-1)P^{1/2} \right)^2$$
(5.2)

solutions. Rearrange the terms in (5.2) to conclude that (5.1) has at least

$$P - (i-1)^2 \sqrt{P} - 2(i-1) - 2 + \frac{1}{P} - \frac{1}{\sqrt{P}}$$
(5.3)

solutions. Noting that *i* distinct values of *x* result in the same x^i , accounting for the symmetry of *x* and *y*, and omitting the case $x^i = y^i$ we obtain a lower bound on the number of ways a residue *u* can be expressed as a sum of two distinct *i*th power residues to be $P/(2i^2) - \sqrt{P/2} - 3$.

Equations of the type in (5.1) were also studied by Weil [2].

We now continue with the introduction of some convenient notation. For $x_{i,1}$ an *i*th power residue define the set $A_{i,1}(x_{i,1})$ to be

$$A_{i,1}(x_{i,1}) = \{ [2^{ik} x_{i,1}]_P | 0 \le k \le \lfloor \frac{G}{i} \rfloor \} .$$
(5.4)

Let $x_{i,2}$ and $x_{i,3}$ be distinct *i*th power residues such that $x_{i,2} + x_{i,3} \equiv 2x_{i,1} \mod P$. These two power residues generate sets $A_{i,2}(x_{i,2})$ and $A_{i,3}(x_{i,3})$ where

$$A_{i,2}(x_{i,2}) = \{ [2^{ik} x_{i,2}]_P | 0 \le k \le \lfloor \frac{G-1}{i} \rfloor \} \text{ and}$$
(5.5)

$$A_{i,3}(x_{i,3}) = \{ [2^{ik} x_{i,3}]_P | 0 \le k \le \lfloor \frac{G-1}{i} \rfloor \} .$$
(5.6)

Likewise, for each $2^{l}x_{i,1}$ for $1 \leq l \leq i-1$ let $x_{i,2l}$ and $x_{i,2l+1}$ be distinct *i*th power residues such that $x_{i,2l} + x_{i,2l+1} \equiv 2^{l}x_{i,1} \mod P$. These residues generate sets $A_{i,2l}(x_{i,2l})$ and $A_{i,2l+1}(x_{i,2l+1})$ where

$$A_{i,2l}(x_{i,2l}) = \{ [2^{ik} x_{i,2l}]_P | 0 \le k \le \lfloor \frac{G-l}{i} \rfloor \} \text{ and}$$
(5.7)

$$A_{i,2l+1}(x_{i,2l+1}) = \{ [2^{ik} x_{i,2l+1}]_P | 0 \le k \le \lfloor \frac{G-l}{i} \rfloor \}.$$
(5.8)

By introducing sets $A_{i,j}(x_{i,j})$ we have effectively decomposed all residues of the type $[2^{ik+l}x_{i,1}]_P, 0 \le ik+l \le G, 1 \le l \le i-1$ into a sum of two *i*th power residues, namely

 $[2^{ik}x_{i,2l}]_P$ and $[2^{ik}x_{i,2l+1}]_P$. For each set $A_{i,j}(x_{i,j})$, $1 \le j \le 2i - 1$, we let $B_{i,j}(x_{i,j})$ be the set of all *i*th power roots of elements of $A_{i,j}(x_{i,j})$,

$$B_{i,j}(x_{i,j}) = \{ [2^k y_{i,j}^{(t)}]_P | (y_{i,j}^{(t)})^i \equiv x_{i,j} \mod P, 1 \le t \le i, 0 \le k \le \lfloor \frac{G - \lfloor \frac{j}{2} \rfloor}{i} \}$$
(5.9)

First note that all elements in $A_{i,j}(x_{i,j})$ are *i*th power residues by construction. Moreover, they are all distinct since $2^{ij_1} \neq 2^{ij_2} \mod P$ for $1 \leq j_1, j_2 \leq \lfloor \frac{G - \lfloor \frac{j}{2} \rfloor}{i} \rfloor$ for $j_1 \neq j_2$ implies $x_{i,j}2^{ij_1} \neq x_{i,j}2^{ij_2} \mod P$. Thus, $|A_{ij}(x_{i,j})| = \lfloor \frac{G - \lfloor \frac{j}{2} \rfloor}{i} \rfloor + 1$ and since the *i*th power roots of distinct *i*th power residues are themselves distinct, $|B_{ij}(x_{i,j})| = i \left(\lfloor \frac{G - \lfloor \frac{j}{2} \rfloor}{i} \rfloor + 1 \right)$.

LEMMA 5.3. Suppose P is a prime number such that i|(P-1). Let $x_{i,1}$ be an ith power residue. Suppose $x_{i,j}$ for $2 \le j \le 2i - 1$ are ith power residues such that $2^k x_{i,1} \equiv x_{i,2k} + x_{i,2k+1} \mod P$ for $1 \le k \le (i-1)$. Let $A_{i,j}(x_{i,j}) = \{[2^{il}x_{i,j}]_P | 0 \le l \le \lfloor \frac{G-\lfloor \frac{j}{2} \rfloor}{i} \}$ for $1 \le j \le 2i - 1$ and $G = \lfloor \log_2 P \rfloor$. If the sets $A_{i,j}(x_{i,j})$ are disjoint for $1 \le j \le 2i - 1$, each residue u mod P can be expressed as a sum of a subset of elements of the set $L_{z,P} = \bigcup_{j=1}^{2i-1} A_{i,j}(x_{i,j})$ where z denotes $x_{i,1}$.

Proof. Follows immediately from Lemma 5.1 by observing that, with z denoting $x_{i,1}$, we have in fact decomposed elements $[2^k z]_P$ in the set $T_{z,P}$ for k not a multiple of i into a sum of two component elements such that all component elements are distinct from one another and distinct from $[2^k z]_P$ for i|k.

The following lemma proves that it is possible to construct subsets $A_{ij}(x_{i,j})$, and subsets $B_{ij}(x_{i,j})$ from them, of the set of residues mod P for P prime that satisfies lcm(2, 3, ...r)|(P-1) for a given positive integer r, provided that P is large enough, such that for fixed i the subsets $A_{ij}(x_{i,j})$ are disjoint, and such that all subsets $B_{ij}(x_{i,j})$ for $1 \le i \le r, 1 \le j \le 2i - 1$ are also disjoint. Let W_i denote the number of ways any residue mod P can be expressed as a sum of two distinct non zero ith power residues mod P. A universal lower bound on W_i that holds for all residues was given in Lemma 5.2.

LEMMA 5.4. For a given integer r, suppose a prime number P satisfies lcm(2,3,...r)|(P-1). Let $G = \lfloor \log_2 P \rfloor$. If $P-1 > (G+r)(G+r-1)(r-1)^2$ and $W_i > 2i(G+i)(G+i-1)$, for each i in the range $2 \le i \le r$, there exist subsets $A_{ij}(x_{i,j})$ of the type given in (5.7) and (5.8) and $B_{ij}(x_{i,j})$ of the type given in (5.9) such that for fixed i subsets $A_{ij}(x_{i,j})$ for $1 \le j \le 2i - 1$ are disjoint, and for $1 \le i \le r$, $1 \le j \le 2i - 1$ all subsets $B_{ij}(x_{i,j})$ are disjoint.

Proof. We inductively build the sets $A_{ij}(x_{i,j})$ and $B_{ij}(x_{i,j})$ for $1 \le i \le r$ and $1 \le j \le 2i - 1$, starting with the level i = 1. We then increment i by one to reach the next collection of sets $A_{ij}(x_{i,j})$ and $B_{ij}(x_{i,j})$ while making sure the sets $B_{ij}(x_{i,j})$ at the current level are disjoint from one another and with all previously constructed sets at lower levels.

Consider i = 1. Let $x_{1,1}$ be an arbitrary residue mod P, and let

$$A_{1,1}(x_{1,1}) = \{ [2^k x_{1,1}]_P | 0 \le k \le G \} .$$
(5.10)

Let $z_1 = x_{1,1}$ and $y_{1,1}^{(1)} = x_{1,1}$. Here $B_{1,1}(z_1)$ is simply $A_{1,1}(x_{1,1})$ for i = 1. All elements in $B_{1,1}(z_1)$ are distinct and $|B_{1,1}(z_1)| = (G+1)$. If r = 1, we are done, as we did not even appeal to the condition on the lower bound on P - 1 (it is simply P - 1 > 0).

If $r \ge 2$, let us consider i = 2. Consider quadratic residues $x_{2,1}, x_{2,2}$ and $x_{2,3}$. Let their respective distinct quadratic roots be $y_{2,1}^{(1)}, y_{2,1}^{(2)}$ (so that $(y_{2,1}^{(1)})^2 \equiv (y_{2,1}^{(2)})^2 \equiv x_{2,1} \mod P$), $y_{2,2}^{(1)}, y_{2,2}^{(2)}$ (so that $(y_{2,2}^{(1)})^2 \equiv (y_{2,2}^{(2)})^2 \equiv x_{2,2} \mod P$) and $y_{2,3}^{(1)}, y_{2,3}^{(2)}$ (so that $(y_{2,3}^{(1)})^2 \equiv (y_{2,2}^{(2)})^2 \equiv x_{2,2} \mod P$).

 $(y_{2,3}^{(2)})^2 \equiv x_{2,3} \mod P$). These quadratic residues give rise to sets

$$A_{2,1}(x_{2,1}) = \{ [2^{2k} x_{2,1}]_P | 0 \le k \le \lfloor \frac{G}{2} \rfloor \},$$
(5.11)

$$A_{2,2}(x_{2,2}) = \{ [2^{2k} x_{2,2}]_P | 0 \le k \le \lfloor \frac{G-1}{2} \rfloor \} \text{ and},$$
(5.12)

$$A_{2,3}(x_{2,3}) = \{ [2^{2k} x_{2,3}]_P | 0 \le k \le \lfloor \frac{G-1}{2} \rfloor \} .$$
(5.13)

Quadratic roots of elements of sets $A_{2,1}(x_{2,1})$, $A_{2,2}(x_{2,2})$ and $A_{2,3}(x_{2,3})$ give rise to sets $B_{2,1}(x_{2,1})$, $B_{2,2}(x_{2,2})$ and $B_{2,3}(x_{2,3})$,

$$B_{2,1}(x_{2,1}) = \{ [2^k y_{2,1}^{(t)}]_P | 1 \le t \le 2, 0 \le k \le \lfloor \frac{G}{2} \rfloor \},$$
(5.14)

$$B_{2,2}(x_{2,2}) = \{ [2^k y_{2,2}^{(t)}]_P | 1 \le t \le 2, 0 \le k \le \lfloor \frac{G-1}{2} \rfloor \}, \text{ and}$$
(5.15)

$$B_{2,3}(x_{2,3}) = \{ [2^k y_{2,3}^{(t)}]_P | 1 \le t \le 2, 0 \le k \le \lfloor \frac{G-1}{2} \rfloor \}.$$
 (5.16)

Having fixed the set $B_{1,1}(x_{1,1})$ based on the earlier selection of the residue $x_{1,1}$, we want to show that it is possible to find quadratic residues $x_{2,1}$, $x_{2,2}$ and $x_{2,3}$ such that $x_{2,2} + x_{2,3} \equiv 2x_{2,1} \mod P$ and such that the resulting sets $B_{1,1}(x_1)$, $B_{2,1}(x_{2,1})$, $B_{2,2}(x_{2,2})$ and $B_{2,3}(x_{2,3})$ are all disjoint.

In particular we require that $x_{2,1}$ is a quadratic residue $\mod P$ (there are (P-1)/2 quadratic residues) with the property that the set $B_{2,1}(x_{2,1})$ is disjoint from $B_{1,1}(x_{1,1})$. That is we require

$$y_{2,1}^{(1)} 2^k \neq y_{1,1}^{(1)} 2^l \mod P$$
 (5.17)

and

$$y_{2,1}^{(2)} 2^k \neq y_{1,1}^{(1)} 2^l \mod P$$
 (5.18)

for $0 \le k \le \lfloor \frac{G}{2} \rfloor$ and $0 \le l \le G$. By squaring the expressions, these two conditions can be combined into

$$x_{2,1}2^{2k} \neq (x_{1,1})^2 2^{2l} \mod P$$
 (5.19)

for $0 \le k \le \lfloor \frac{G}{2} \rfloor$ and $0 \le l \le G$. For the already chosen $y_{1,1}^{(1)}(=x_{1,1})$ at most $(G+1)(\lfloor \frac{G}{2} \rfloor + 1)$ candidate quadratic residues out of total (P-1)/2 quadratic residues violate (5.19). Observe that the function $(G+i)(G+i-1)(i-1)^2$ is strictly increasing for positive $i, 2 \le i \le r$, and thus the condition $P-1 > (G+r)(G+r-1)(r-1)^2$ in the statement of the Lemma implies P-1 > (G+2)(G+1). Since $\frac{P-1}{2} > \frac{(G+1)(G+2)}{2} \ge (G+1)(\lfloor \frac{G}{2} \rfloor + 1)$, such $x_{2,1}$ exists.

Fix $x_{2,1}$ such that (5.19) holds. Having chosen such $x_{2,1}$, we now look for $x_{2,2}$ and $x_{2,3}$ as distinct quadratic residues that satisfy $x_{2,2} + x_{2,3} \equiv 2x_{2,1} \mod P$. We require that $B_{2,2}(x_{2,2})$ be disjoint from both $B_{1,1}(x_{1,1})$ and $B_{2,1}(x_{2,1})$ (by construction, if $B_{2,2}(x_{2,2})$

and $B_{2,1}(x_{2,1})$ are disjoint so are $A_{2,2}(x_{2,2})$ and $A_{2,1}(x_{2,1})$) so that

$$y_{2,2}^{(1)} 2^{k_3} \neq y_{1,1}^{(1)} 2^{k_1} \mod P,$$

$$y_{2,2}^{(2)} 2^{k_3} \neq y_{1,1}^{(1)} 2^{k_1} \mod P,$$

$$y_{2,2}^{(1)} 2^{k_3} \neq y_{2,1}^{(1)} 2^{k_2} \mod P,$$

$$y_{2,2}^{(2)} 2^{k_3} \neq y_{2,1}^{(1)} 2^{k_2} \mod P,$$

$$y_{2,2}^{(2)} 2^{k_3} \neq y_{2,1}^{(2)} 2^{k_2} \mod P,$$

$$y_{2,2}^{(2)} 2^{k_3} \neq y_{2,1}^{(2)} 2^{k_2} \mod P,$$

$$y_{2,2}^{(2)} 2^{k_3} \neq y_{2,1}^{(2)} 2^{k_2} \mod P,$$

(5.20)

where $0 \le k_1 \le G$, $0 \le k_2 \le \lfloor \frac{G}{2} \rfloor$ and $0 \le k_3 \le \lfloor \frac{G-1}{2} \rfloor$. Alternatively, by squaring both sides in each expression in (5.20),

$$\begin{array}{rcl} x_{2,2}2^{2k_3} & \neq & (x_{1,1})^2 2^{2k_1} & \mod P, \\ x_{2,2}2^{2k_3} & \neq & x_{2,1}2^{2k_2} & \mod P, \end{array}$$
(5.21)

where $0 \le k_1 \le G$, $0 \le k_2 \le \lfloor \frac{G}{2} \rfloor$ and $0 \le k_3 \le \lfloor \frac{G-1}{2} \rfloor$.

Likewise, we require that $B_{2,3}(x_{2,3})$ be disjoint from $B_{1,1}(x_{1,1})$, $B_{2,1}(x_{2,1})$ and $B_{2,2}(x_{2,2})$ (again, if $B_{2,3}(x_{2,3})$ is disjoint from $B_{2,2}(x_{2,2})$ and $B_{2,1}(x_{2,1})$, then $A_{2,3}(x_{2,3})$ is disjoint from $A_{2,2}(x_{2,2})$ and $A_{2,1}(x_{2,1})$) so that

$$\begin{array}{ll} x_{2,3}2^{2k_4} \neq (y_{1,1}^{(1)})^2 2^{2k_1} \mod P, \\ x_{2,3}2^{2k_4} \neq x_{2,1}2^{2k_2} \mod P, \\ x_{2,3}2^{2k_4} \neq x_{2,2}2^{2k_3} \mod P, \end{array}$$
(5.22)

where $0 \leq k_1 \leq G$, $0 \leq k_2 \leq \lfloor \frac{G}{2} \rfloor$, $0 \leq k_3 \leq \lfloor \frac{G-1}{2} \rfloor$ and $0 \leq k_4 \leq \lfloor \frac{G-1}{2} \rfloor$. For the already chosen values of $x_{2,1}$ and $y_{1,1}$ at most $N_2 = 2\left[\left(\lfloor \frac{G}{2} \rfloor + 1\right)\left(\lfloor \frac{G-1}{2} \rfloor + 1\right) + (G+1)\left(\lfloor \frac{G-1}{2} \rfloor + 1\right)\right] + \left(\lfloor \frac{G-1}{2} \rfloor + 1\right)^2$ choices for $x_{2,2}$ and $x_{2,3}$ violate (5.21) and (5.22).

We thus require that W_2 be strictly larger than N_2 . Dropping floor operations it is sufficient that $W_2 > \frac{(G+1)(G+2)}{2} + \frac{5(G+1)^2}{4}$. Further simplification yields that

$$W_2 > \frac{7(G+1)(G+2)}{4} \tag{5.23}$$

is sufficient to ensure that there exist $x_{2,2}$, $x_{2,3}$ that make the respective sets disjoint. Note that this last condition follows from the requirement in the statement of the Lemma for i = 2, namely that $W_2 > 4(G+1)(G+2)$. If r = 2 we are done, else we consider i = 3. Before considering general level i let us present the i = 3 case.

For i = 3 we seek distinct cubic residues $x_{3,1}, x_{3,2}, x_{3,3}, x_{3,4}$ and $x_{3,5}$ with the property that $x_{3,2} + x_{3,3} \equiv 2x_{3,1} \mod P$ and $x_{3,4} + x_{3,5} \equiv 2^2x_{3,1} \mod P$, and such that the respective sets $B_{3,j}(x_{3,j})$ for $1 \le j \le 5$ generated from the cubic roots of these residues are disjoint and are disjoint from previously constructed sets $B_{1,1}(x_{1,1}), B_{2,1}(x_{2,1}), B_{2,2}(x_{2,2})$ and $B_{2,3}(x_{2,3})$.

We start with $x_{3,1}$ a cubic residue mod P (there are (P-1)/3 cubic residues) with the property that the set $B_{3,1}(x_{3,1})$ is disjoint from each of $B_{1,1}(x_{1,1})$, $B_{2,1}(x_{2,1})$, $B_{2,2}(x_{2,2})$

and $B_{2,3}(x_{2,3})$. That is, after raising the elements of these sets to the third power, we require

$$\begin{aligned} x_{3,1}2^{3k_5} &\neq (y_{1,1}^{(1)})^3 2^{3k_1} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,1}^{(1)})^3 2^{3k_2} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,1}^{(2)})^3 2^{3k_2} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,2}^{(1)})^3 2^{3k_3} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,2}^{(1)})^3 2^{3k_3} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,2}^{(1)})^3 2^{3k_4} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,3}^{(1)})^3 2^{3k_4} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,3}^{(2)})^3 2^{3k_4} \mod P, \\ x_{3,1}2^{3k_5} &\neq (y_{2,3}^{(2)})^3 2^{3k_4} \mod P, \end{aligned}$$

where $0 \le k_1 \le G$, $0 \le k_2 \le \lfloor \frac{G}{2} \rfloor$, $0 \le k_3 \le \lfloor \frac{G-1}{2} \rfloor$, $0 \le k_4 \le \lfloor \frac{G-1}{2} \rfloor$ and $0 \le k_5 \le \lfloor \frac{G}{3} \rfloor$.

For the already chosen values of $x_{1,1}$ through $x_{2,3}$, which in turn determine $y_{1,1}^{(1)}$ through $y_{2,3}^{(2)}$, the condition in (5.24) prevents $N_3 = \left(\lfloor \frac{G}{3} \rfloor + 1\right) \left[(G+1) + 2\left(\lfloor \frac{G}{2} \rfloor + 1\right) + 4\left(\lfloor \frac{G-1}{2} \rfloor + 1\right)\right]$ choices for $x_{3,1}$. Since there are $\frac{P-1}{3}$ cubic residues, after simplifying and upper bounding the expression for N_3 , it follows that it is sufficient that $\frac{P-1}{3}$ be strictly larger than $\frac{4(G+2)(G+3)}{3}$. Note that this condition is implied by the requirement that $P-1 > (r-1)^2(G+r)(G+r-1)$ (again, since the function $(i-1)^2(G+i)(G+i-1)$ is strictly increasing for positive i).

Fix $x_{3,1}$ such that (5.24) holds. Having chosen such $x_{3,1}$, we now look for distinct $x_{3,2}$, $x_{3,3}, x_{3,4}, x_{3,5}$ cubic residues that satisfy $x_{3,2} + x_{3,3} \equiv 2x_{3,1} \mod P$ and $x_{3,4} + x_{3,5} \equiv 2^2 x_{3,1} \mod P$ that make all sets $B_{i,j}(x_{i,j})$, $1 \le i \le 3$, $1 \le j \le 2i - 1$ disjoint.

In order that residue $x_{3,2}$ generates set $B_{3,2}(x_{3,2})$ with the property that $B_{3,2}(x_{3,2})$ is disjoint from each of $B_{1,1}(x_{1,1})$, $B_{2,1}(x_{2,1})$, $B_{2,2}(x_{2,2})$, $B_{2,3}(x_{2,3})$ and $B_{3,1}(x_{3,1})$, we require that their respective elements raised to the third power be distinct,

$$\begin{aligned} x_{3,2}2^{3k_6} &\neq (y_{1,1}^{(1)})^3 2^{3k_1} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,1}^{(1)})^3 2^{3k_2} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,1}^{(2)})^3 2^{3k_2} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,2}^{(1)})^3 2^{3k_3} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,2}^{(1)})^3 2^{3k_3} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,3}^{(1)})^3 2^{3k_4} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,3}^{(1)})^3 2^{3k_4} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,3}^{(2)})^3 2^{3k_4} \mod P, \\ x_{3,2}2^{3k_6} &\neq (y_{2,3}^{(2)})^3 2^{3k_5} \mod P, \\ x_{3,2}2^{3k_6} &\neq (x_{3,1})^{2k_5} \mod P, \end{aligned}$$
(5.25)

where $0 \le k_1 \le G$, $0 \le k_2 \le \lfloor \frac{G}{2} \rfloor$, $0 \le k_3 \le \lfloor \frac{G-1}{2} \rfloor$, $0 \le k_4 \le \lfloor \frac{G-1}{2} \rfloor$, $0 \le k_5 \le \lfloor \frac{G}{3} \rfloor$ and $0 \le k_6 \le \lfloor \frac{G-1}{3} \rfloor$.

Likewise, we require that $B_{3,3}(x_{3,3})$ be disjoint from all of $B_{1,1}(x_{1,1})$, $B_{2,1}(x_{2,1})$,

 $B_{2,2}(x_{2,2}), B_{2,3}(x_{2,3}), B_{3,1}(x_{3,1})$ and $B_{3,2}(x_{3,2})$, so that

$$\begin{array}{rcl} x_{3,3}2^{3k_7} & \neq & (y_{1,1}^{(1)})^3 2^{3k_1} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,1}^{(1)})^3 2^{3k_2} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,1}^{(1)})^3 2^{3k_2} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,2}^{(1)})^3 2^{3k_3} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,2}^{(1)})^3 2^{3k_3} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,3}^{(1)})^3 2^{3k_4} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,3}^{(1)})^3 2^{3k_4} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & (y_{2,3}^{(2)})^3 2^{3k_5} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & x_{3,1}2^{3k_5} & \mod P, \\ x_{3,3}2^{3k_7} & \neq & x_{3,2}2^{3k_6} & \mod P, \end{array}$$

where $0 \le k_1 \le G$, $0 \le k_2 \le \lfloor \frac{G}{2} \rfloor$, $0 \le k_3 \le \lfloor \frac{G-1}{2} \rfloor$, $0 \le k_4 \le \lfloor \frac{G-1}{2} \rfloor$, $0 \le k_5 \le \lfloor \frac{G}{3} \rfloor$, $0 \le k_6 \le \lfloor \frac{G-1}{3} \rfloor$ and $0 \le k_7 \le \lfloor \frac{G-1}{3} \rfloor$.

From (5.25) and (5.26) it follows that at most

$$N'_{3} = 2\left(\lfloor\frac{G-1}{3}\rfloor+1\right)\left[(G+1)+2\left(\lfloor\frac{G}{2}\rfloor+1\right)+4\left(\lfloor\frac{G-1}{2}\rfloor+1\right)+\left(\lfloor\frac{G}{3}\rfloor+1\right)\right] + \left(\lfloor\frac{G-1}{3}\rfloor+1\right)^{2}.$$
(5.27)

candidate pairs $(x_{3,2}, x_{3,3})$ do not make the respective $B_{i,j}(x_{i,j})$ sets disjoint. Since

$$N'_{3} \leq 2\left(\frac{G+2}{3}\right) \left[(G+1) + 2\left(\frac{G+2}{2}\right) + 4\left(\frac{G+1}{2}\right) + \left(\frac{G+3}{3}\right) \right] + \left(\frac{G+2}{3}\right)^{2} < 2\left(\frac{G+2}{3}\right) \cdot 13\left(\frac{G+3}{3}\right) + \left(\frac{G+2}{3}\right)^{2} < 3(G+2)(G+3),$$
(5.28)

it follows that it is sufficient that

$$W_3 > 3(G+2)(G+3), (5.29)$$

where W_3 is the number of ways a residue mod P can be expressed as a sum of two different cubic residues. Similarly, the cubic residues $x_{3,4}$ and $x_{3,5}$ for which the respective disjoint $B_{i,j}(x_{i,j})$ sets exist, provided that

$$W_{3} > 2\left(\lfloor \frac{G-2}{3} \rfloor + 1\right) \left[(G+1) + 2\left(\lfloor \frac{G}{2} \rfloor + 1\right) + 4\left(\lfloor \frac{G-1}{2} \rfloor + 1\right) + \left(\lfloor \frac{G}{3} \rfloor + 1\right) + 2\left(\lfloor \frac{G-1}{3} \rfloor + 1\right) \right] + \left(\lfloor \frac{G-2}{3} \rfloor + 1\right)^{2}.$$
(5.30)

Some simplification of (5.30) yields

$$W_3 > \frac{31}{9}(G+2)(G+3), \qquad (5.31)$$

which subsumes the lower bound on W_3 given in (5.29). Note that (5.31) is implied by the condition in the statement of the Lemma, namely $W_3 > 6(G+2)(G+3)$.

We now inductively show the existence of the appropriate *i*th power residues and their sets, assuming that we have successfully identified power residues at lower levels for which all the sets $B_{k,j}(x_{k,j})$ for $1 \le k < i, 1 \le j \le 2k - 1$ are disjoint.

Consider $x_{i,1}$ an *i*th power residue $\mod P$ (there are (P-1)/i such residues) with the property that the set $B_{i,1}(x_{i,1})$ is disjoint from all of $B_{k,j}(x_{k,j})$ for $1 \le k < i, 1 \le j \le 2k-1$.

These constraints on disjointness (an example of which is given in (5.19) for i = 2 and in (5.24) for i = 3) prevent no more than $\left(\frac{G+i}{i}\right)\left(\frac{G+k}{k}\right)$ choices for $x_{i,1}$ for each $y_{k,j}^{(t)}$ where $1 \le k \le i - 1, 1 \le j \le 2k - 1$, and $1 \le t \le k$ (since $|B_{i,1}(x_{i,1})| = \lfloor \frac{G}{i} \rfloor + 1 \le \frac{G+i}{i}$, and $|B_{k,j}(x_{k,j})| = \lfloor \frac{G-\lfloor \frac{j}{2} \rfloor}{k} \rfloor + 1 \le \frac{G+k}{k}$). By summing over all choices it follows that at most

$$\frac{\binom{G+i}{i}\sum_{k=1}^{i-1}(2k-1)k\left(\frac{G+k}{k}\right)}{(G+i)\left(\frac{G+i-1}{i}\right)\sum_{k=1}^{i-1}(2k-1)} = (G+i)\left(\frac{G+i-1}{i}\right)(i-1)^{2}$$
(5.32)

*i*th power residues cannot be chosen for $x_{i,1}$. Since there are $\frac{P-1}{i}$ *i*th power residues, we thus require

$$P - 1 > (G + i)(G + i - 1)(i - 1)^2$$
(5.33)

for each level *i*. Note that since the expression on the right hand side of the inequality (5.33) is an increasing function of positive *i*, each subsequent level poses a lower bound on *P* that subsumes all previous ones. It is thus sufficient to have $P-1 > (G+r)(G+r-1)(r-1)^2$, as given in the statement of the Lemma.

Consider $x_{i,2}$ and $x_{i,3}$ as distinct *i*th power residues mod *P* that satisfy $x_{i,2} + x_{i,3} \equiv 2x_{i,1} \mod P$ for a previously chosen $x_{i,1}$. We require that $x_{i,2}$ and $x_{i,3}$ give rise to sets $B_{i,2}(x_{i,2})$ and $B_{i,3}(x_{i,3})$ that are disjoint and that are disjoint from each of $B_{k,j}(x_{k,j})$ for $1 \leq k < i, 1 \leq j \leq 2k - 1$ and from $B_{i,1}(x_{i,1})$. By construction, if the sets $B_{i,1}(x_{i,1})$, $B_{i,2}(x_{i,2})$, and $B_{i,3}(x_{i,3})$ are disjoint, then so are sets $A_{i,1}(x_{i,1})$, $A_{i,2}(x_{i,2})$, and $A_{i,3}(x_{i,3})$. Constraints based on the previously encountered $y_{j,k}^{(t)}$ for $1 \leq k < i, 1 \leq j \leq 2k - 1$, $1 \leq t \leq k$ prevent at most $(\frac{G+i-1}{i})(\frac{G+k}{k})$ choices for each of $x_{i,2}$ and $x_{i,3}$, for each $y_{j,k}^{(t)}$ (since $|B_{i,2}(x_{i,2})| = |B_{i,3}(x_{i,3})| = \lfloor \frac{G-1}{i} \rfloor + 1 \leq \frac{G+i-1}{i}$, and $|B_{k,j}(x_{k,j})| = \lfloor \frac{G-\lfloor \frac{j}{2} \rfloor}{k} \rfloor + 1 \leq \frac{G+k}{k}$). Combined with the restriction based on the disjointness with $B_{i,1}(x_{i,1})$ and the requirement that $B_{i,2}(x_{i,2})$ and $B_{i,3}(x_{i,3})$ be nonintersecting, it follows that

$$W_{i} > 2\left(\frac{G+i-1}{i}\right) \left[\sum_{k=1}^{i-1} (2k-1)k(\frac{G+k}{k}) + \left(\frac{G+i}{i}\right)\right] + \left(\frac{G+i-1}{i}\right)^{2}$$
(5.34)

is sufficient for the pair $(x_{i,2}, x_{i,3})$ to exist.

Likewise, for $x_{i,2l}$ and $x_{i,2l+1}$ to be distinct *i*th power residues mod *P* that satisfy $x_{i,2l}+x_{i,2l+1} \equiv 2^l x_{i,1} \mod P$, that give rise to disjoint sets $B_{i,2l}(x_{i,2l})$ and $B_{i,2l+1}(x_{i,2l+1})$ and that are also disjoint from all previously constructed set $B_{k,j}(x_{k,j})$, we require

$$W_i > 2\left(\frac{G+i-1}{i}\right) \left[\sum_{k=1}^{i-1} (2k-1)k\left(\frac{G+k}{k}\right) + (2l-1)\left(\frac{G+i}{i}\right)\right] + \left(\frac{G+i-1}{i}\right)^2$$
(5.35)

for the pair $(x_{i,2l}, x_{i,2l+1})$ to exist. Note that (5.35) subsumes (5.34). Since at each level *i* we construct i - 1 pairs $x_{i,2l}$ and $x_{i,2l+1}$, and since the right hand side of (5.35) is an increasing function of *l*, it is sufficient to upper bound the expression in (5.35) for l = i - 1,

$$W_{i} > 2\left(\frac{G+i-1}{i}\right) \left[\sum_{k=1}^{i-1} (2k-1)k\left(\frac{G+k}{k}\right) + (2i-3)\left(\frac{G+i}{i}\right)\right] + \left(\frac{G+i-1}{i}\right)^{2} \Leftrightarrow W_{i} > 2\left(\frac{G+i-1}{i}\right) \left[(i-1)^{2}(G+i) + \frac{2i-3}{i}(G+i)\right] + \left(\frac{G+i-1}{i}\right)^{2} \Leftrightarrow W_{i} > (G+i)(G+i-1)\left(\frac{2}{i}(i-1)^{2} + \frac{2}{i}\frac{2i-3}{i} + \frac{1}{i^{2}}\right).$$
(5.36)

Some simplification yields

$$W_i > (G+i)(G+i-1)\frac{2i^3 - 4i^2 + 6i - 5}{i^2}$$
(5.37)

as a sufficient condition for the disjoint sets $B_{i,j}(x_{i,j})$ to exist that are also disjoint from all sets $B_{k,l}(x_{k,l})$ for k < i.

Further simplifying the last inequality, it is sufficient that

$$W_i > 2i(G+i)(G+i-1) \tag{5.38}$$

to make these sets disjoint. We have thus demonstrated that with the appropriate lower bounds on P and W_i's, it is possible to construct disjoint sets $B_{i,j}(x_{i,j})$.

Note that all residues mod P can be expressed as a sum of a subset of elements of $V_i = \bigcup_{j=1}^{2i-1} A_{i,j}(x_{i,j})$ by Lemma 5.3 for each $i, 1 \le i \le r$. Also note that $|V_i|$ scales as $\log_2(P)$, since $|A_{i,j}(x_{i,j})| = \lfloor \frac{G - \lfloor \frac{j}{2} \rfloor}{i} \rfloor + 1$. For $F_i = \bigcup_{j=1}^{2i-1} B_{ij}(x_{i,j}), |F_i|$ also scales as $\log_2(P)$, since $|B_{i,j}(x_{i,j})| = i \left(\lfloor \frac{G - \lfloor \frac{j}{2} \rfloor}{i} \rfloor + 1 \right)$.

We now discuss how large prime P needs to be so that the conditions of Lemma 5.4 hold. Namely we require

$$P - 1 > (r - 1)^{2}(G + r)(G + r - 1)$$
(5.39)

and

$$W_i > 2i(G+i)(G+i-1)$$
 for $2 \le i \le r$. (5.40)

Using Lemma 5.2 it follows that it is sufficient that

$$P > 4r^{3}(G+r)(G+r-1) + r^{2}\sqrt{P} + 6r^{2}, \text{ for } r \ge 2$$
(5.41)

for (5.40) to hold. Moreover, if (5.41) holds , it implies (5.39).(For r = 1, the requirement is P > 1). The expression (5.41) certainly holds as $P \to \infty$, and for the finite values of P we (loosely) have that

$$\begin{split} P &> 2 \times 10^2 \quad \text{ for } r = 1; \\ P &> 4 \times 10^3 \quad \text{ for } r = 2; \\ P &> 2 \times 10^4 \quad \text{ for } r = 3; \\ P &> 6 \times 10^4 \quad \text{ for } r = 4; \\ P &> 2 \times 10^5 \quad \text{ for } r = 5 \,. \end{split}$$
 (5.42)

For a given large enough integer n, we now show that there exists a prime number P that satisfies (5.41) (which holds for P large enough) and for which lcm(2, 3, ..., r)|(P-1) such that P lies in an interval that is linear in n. Since the elements of $M = \bigcup_{i=1}^{r} F_i \cup \{0\}$ are to be reserved for the indices of bins of zeros of the prefix in the transformed domain we also require that P - n > |M|, since the total number of bins of zeros to be used is at most n (from the original string) + |M| (from the prefix), and each bin receives a distinct index. Since $F_i = \bigcup_{j=1}^{2i-1} B_{i,j}(x_{i,j})$ and $|B_{i,j}(x_{i,j})| = i\left(\lfloor \frac{G-\lfloor \frac{j}{2} \rfloor}{i} \rfloor + 1\right)$, whereby $i\left(\frac{G-i}{i}\right) \leq |B_{i,j}(x_{i,j})| \leq i\left(\frac{G+i}{i}\right)$, it follows that

$$|M| \le \sum_{i=1}^{r} (2i-1)(G+i) + 1 \le (G+r) \sum_{i=1}^{r} (2i-1) = r^2(G+r) + 1$$
 (5.43)

and

$$|M| \ge \sum_{i=1}^{r} (2i-1)(G-i) + 1 \ge (G-r) \sum_{i=1}^{r} (2i-1) = r^2(G-r) + 1$$
 (5.44)

Equation (5.43) yields a sufficient requirement on how large P needs to be

$$P > n + r^2(\log_2(P) + r) + 1.$$
(5.45)

For given integers n and r (n is typically large and r is small), we essentially need to show that there exists a prime P for which k = lcm(2, 3, ..., r)|(P - 1) and $P \in (c_1n, c_2n)$ (here c_1 and c_2 are positive numbers that do not depend on n) and such that P satisfies (5.41) and (5.45).

For the asymptotic regime as $n \to \infty$ we recall the prime number theorem for arithmetic progressions [5] which states that

$$\pi(n,k,1) \sim \frac{1}{\phi(k)} \frac{n}{\log(n)} , \qquad (5.46)$$

where $\pi(n, k, 1)$ denotes the number of primes $\leq n$ that are congruent to $1 \mod k$, and $\phi(k)$ is the Euler function and represents the number of integers $\leq k$ that are relatively prime with k. As $n \to \infty$, we may let $c_1 := 2$ and $c_2 := 4$, so that

$$\frac{\pi(4n,k,1)}{\pi(2n,k,1)} \sim 2$$
, (5.47)

and thus there exists a prime P, k|(P-1) in an interval that is linear in n. Clearly, as $n \to \infty$, such P also satisfies (5.41) and (5.45).

For finite (but possibly very large) values of n and certain small r we appeal to results by Ramare and Rumely [4]. The number-theoretic function $\theta(x; k, l)$ is usually defined as

$$\theta(x;k,l) = \sum_{\substack{p \text{ prime }, p \equiv l \mod k, p \le x}} \ln p \ . \tag{5.48}$$

To show that there exists a prime P in the interval (c_1n, c_2n) for which k = lcm(2, 3, ..., r)|(P-1) it is sufficient to have

$$\theta(c_2n;k,1) > \theta(c_1n;k,1) , \qquad (5.49)$$

where k = lcm(2, 3, ..., r).

Theorem 2 in [4] states that $|\theta(x; k, 1) - \frac{x}{\phi(k)}| \le 2.072\sqrt{x}$ for all $x \le 10^{10}$ for k given in Table I of [4]. For larger x, Theorem 1 in [4], provides the bounds of the type

$$(1-\varepsilon)\frac{x}{\phi(k)} \le \theta(x;k,1) \le (1+\varepsilon)\frac{x}{\phi(k)}, \qquad (5.50)$$

for k given in Table I of [4], and ε also given in Table I of [4] for various x. Here $\phi(k)$ is the Euler function and denotes the number of integers $\leq k$ that are relatively prime with k.

For $c_2 n \leq 10^{10}$, using

$$\theta(c_1n;k,1) < \frac{c_1n}{\phi(k)} + 2.072\sqrt{c_1n}$$
(5.51)

and

$$\theta(c_2n;k,1) > \frac{c_2n}{\phi(k)} - 2.072\sqrt{c_2n},$$
(5.52)

it is thus sufficient to have

$$2.072\phi(k) < \sqrt{n}(\sqrt{c_2} - \sqrt{c_1}), \qquad (5.53)$$

for $\theta(c_2n; k, 1) > \theta(c_1n; k, 1)$ to hold.

For $c_1 n \leq 10^{10}$ using

$$\theta(c_1 n; k, 1) < (1 + \varepsilon) \frac{c_1 n}{\phi(k)}$$
(5.54)

and

$$\theta(c_2n;k,1) > (1-\varepsilon)\frac{c_2n}{\phi(k)},\tag{5.55}$$

after some simplification, it is sufficient to have

$$(1+\varepsilon)c_1 < (1-\varepsilon)c_2 , \qquad (5.56)$$

for $\theta(c_2n; k, 1) > \theta(c_1n; k, 1)$ to hold.

Expressing $P \in (c_1n, c_2n)$ in terms of c_1n and c_2n , it is sufficient that

$$(c_1 - 1)n > r^2(\log_2 n + \log_2 c_2 + r) + 1$$
(5.57)

for (5.45) to hold. Likewise, for $r \ge 2$, it is sufficient that

$$c_1 n > 4r^3 (\log_2 n + \log_2 c_2 + r) (\log_2 n + \log_2 c_2 + r - 1) + r^2 (6 + \sqrt{c_2 n})$$
(5.58)

for (5.41) to hold.

Parameters c_1 and c_2 can be chosen as a function of r to make (5.53) (or (5.56)), (5.57) and (5.58) hold. We consider now some suitable choices for c_1 and c_2 for small values of r and some finite n.

• r = 1: The condition (5.57) reduces to $(c_1 - 1)n > \log_2 n + \log_2 c_2 + 2$. For $c_2n < 10^{10}$, the condition (5.53) reduces to $\sqrt{n}(\sqrt{c_2} - \sqrt{c_1}) > 2.072$. We may let $c_2 = 4$ and $c_1 = 2$ for $12 < n < 10^{10}/4$ to ensure that there exists a prime in the interval (2n, 4n) which satisfies (5.57).

The condition (5.56) applies to $c_1 n > 10^{10}$ so we may let $c_1 = 4$ for $n > 10^{10}/4$. Since all ε entries for k = 1 in Table I of [4] are $\ll 1/9$, we may let $c_2 = 5$ to make the condition (5.57) hold.

Since $|M| \leq (\lfloor \log_2 P \rfloor + 2) \leq (\log_2 n + \log_2 c_2 + 2)$ (from (5.43)), and $|M| \geq \lfloor \log_2 P \rfloor \geq (\log_2 n + \log_2 c_1 - 2) + 1$ (from (5.44)) it follows that $(\log_2 n) \leq |M| \leq (\log_2 n + 4)$ for $12 < n < 10^{10}/4$ and $(\log_2 n + 1) \leq |M| \leq (\log_2 n + 5)$ for $n > 10^{10}/4$.

• r = 2: The conditions (5.57) and (5.58) reduce to $(c_1 - 1)n > 4(\log_2 n + \log_2 c_2 + 2) + 1$ and $c_1n > 4 \cdot 8(\log_2 n + \log_2 c_2 + 2)(\log_2 n + \log_2 c_2 + 1) + 4(6 + \sqrt{c_2n})$. For $c_2n < 10^{10}$, the condition (5.53) is again $\sqrt{n}(\sqrt{c_2} - \sqrt{c_1}) > 2.072$. We may let $c_1 = 2^{10}$ and $c_2 = 2^{11}$ to satisfy the required conditions (5.53), (5.57) and (5.58) for $10 \le n \le 10^{10}/2^{11} = 1/2 \times 5^{10}$.

For $n \ge 1/2 \times 5^{10}$, we may let $c_1 = 2^{11}$ and $c_2 = 2^{12}$ to satisfy the required conditions (5.56) (since all ε entries in Table I of [4] are $\ll 1/3$), (5.57) and (5.58).

Thus we have $4(\log_2 n + 7) + 1 \le |M| \le 4(\log_2 n + 14) + 1$, for $n \ge 10$.

• r = 3: The conditions (5.57) and (5.58) reduce to $(c_1 - 1)n > 9(\log_2 n + \log_2 c_2 + 3) + 1$ and $c_1n > 4 \cdot 27(\log_2 n + \log_2 c_2 + 3)(\log_2 n + \log_2 c_2 + 2) + 9(6 + \sqrt{c_2n})$. For $c_2n < 10^{10}$, the condition (5.53) is now $\sqrt{n}(\sqrt{c_2} - \sqrt{c_1}) > 2.072 \times 2$. We may let $c_1 = 2^{12}$ and $c_2 = 2^{13}$ to satisfy the required conditions (5.53), (5.57) and (5.58) for $10 \le n \le 10^{10}/2^{13} = 1/8 \times 5^{10}$. For $n \ge 1/8 \times 5^{10}$ it suffices to let $c_1 = 2^{13}$ and $c_2 = 2^{14}$ to ensure (5.53), (5.57) and (5.58) are satisfied.

Thus we have $9(\log_2 + 8) + 1 \le |M| \le 9(\log_2 n + 17) + 1$, for $n \ge 10$.

• r = 4: The conditions (5.57) and (5.58) reduce to $(c_1 - 1)n > 16(\log_2 n + \log_2 c_2 + 4) + 1$ and $c_1n > 4 \cdot 64(\log_2 n + \log_2 c_2 + 4)(\log_2 n + \log_2 c_2 + 3) + 16(6 + \sqrt{c_2n})$. For $c_2n < 10^{10}$, the condition (5.53) is $\sqrt{n}(\sqrt{c_2} - \sqrt{c_1}) > 2.072 \times 4$. We may let $c_1 = 2^{13}$ and $c_2 = 2^{14}$ to satisfy the required conditions (5.53), (5.57) and (5.58) for $16 \le n \le 10^{10}/2^{14} = 1/16 \times 5^{10}$.

For $n \ge 1/16 \times 5^{10}$ it suffices to let $c_1 = 2^{14}$ and $c_2 = 2^{15}$ to ensure (5.53), (5.57) and (5.58) are satisfied.

Thus we have $16(\log_2 + 8) + 1 \le |M| \le 16(\log_2 n + 19) + 1$, for $n \ge 16$.

• r = 5: The conditions (5.57) and (5.58) reduce to $(c_1 - 1)n > 25(\log_2 n + \log_2 c_2 + 5) + 1$ and $c_1n > 4 \cdot 125(\log_2 n + \log_2 c_2 + 5)(\log_2 n + \log_2 c_2 + 4) + 25(6 + \sqrt{c_2n})$. For $c_2n < 10^{10}$, the condition (5.53) is $\sqrt{n}(\sqrt{c_2} - \sqrt{c_1}) > 2.072 \times 16$. We may let $c_1 = 2^{14}$ and $c_2 = 2^{15}$ to satisfy the required conditions (5.53), (5.57) and (5.58) for $19 \le n \le 10^{10}/2^{15} = 1/32 \times 5^{10}$.

For $n \ge 1/32 \times 5^{10}$ it suffices to let $c_1 = 2^{15}$ and $c_2 = 2^{16}$ to ensure (5.53), (5.57) and (5.58) are satisfied.

Thus we have $25(\log_2 + 8) + 1 \le |M| \le 25(\log_2 n + 21) + 1$, for $n \ge 19$.

5.2. Prefixing Algorithm. Let r denote the target synchronization error correction capability. The goal of this section it to provide an explicit prefixing scheme which, based on the string \mathbf{s} of length n, produces a fixed length prefix $\mathbf{p}_{\mathbf{s}}$ of length v, where $\mathbf{p}_{\mathbf{s}}$ is a function of \mathbf{s} , such that the string $\mathbf{t}_{\mathbf{s}} = [\mathbf{p}_{\mathbf{s}} \mathbf{s}]$ after the transformation T_{v+n} given in (2.1) satisfies first r congruency constraints of the type previously described in (4.12), which were shown to be sufficient to provide immunity to r repetition errors. Using judiciously chosen prefix, we will show that this will be possible for $v = |\mathbf{p}_{\mathbf{s}}| = O(\log n)$.

We select as \mathbf{p}_s that preimage with the property that in the concatenation $[\mathbf{p}_s \mathbf{s}]$ the last bit of \mathbf{p}_s is the complement of the first bit of \mathbf{s} . This property ensures that no bin of zeros in the transformed domain spans the boundary separating the substrings corresponding to the transformed prefix and the transformed original string.

For a given repetition error correction capability r and the original string length n let P be a prime number with the property that k = lcm(2, 3, ..., r)|(P-1) and such that P lies in the interval that scales linearly with n, namely that $P \in (c_1n, c_2n)$ for $1 < c_1 < c_2$, where c_1, c_2 possibly depend on r but not on n and are chosen such that (5.53) (or (5.56), for appropriate k and n), (5.57) and (5.58) hold. The existence of such P was discussed in the previous section. Let R_P be the set of all residues mod P. Recall that $M = \bigcup_{j=1}^r F_i \cup \{0\}$ denotes the set of indices of bins of zeros reserved for the prefix, where $F_i = \bigcup_{j=1}^{2i-1} B_{i,j}(x_{i,j})$ where $B_{i,j}(x_{i,j})$ are given in (5.9), and are constructed such that all sets $B_{i,j}(x_{i,j})$ for $1 \le i \le r$, $1 \le j \le 2i - 1$ are nonintersecting. The existence of disjoint sets $B_{i,j}(x_{i,j})$ for such P was proved in Lemma 5.4. Let L = |M|. Let N denote the total number of bins of zeros of \tilde{s} , where $\tilde{s} = sT_n$. By construction, $N \le n$. Let

$$\begin{array}{rcl}
a'_{1} &\equiv& \sum_{i=L+1}^{L+N} b_{i}f_{i} \bmod P, \\
a'_{2} &\equiv& \sum_{i=L+1}^{L+N} b_{i}f_{i}^{2} \bmod P \\
&\vdots \\
a'_{r} &\equiv& \sum_{i=L+1}^{L+N} b_{i}f_{i}^{r} \bmod P
\end{array}$$
(5.59)

where b_i is the size of the *i*th bin of zeros in $\tilde{\mathbf{t}}_s$ (obtained by transforming \mathbf{t}_s using (2.1)), and f_i in (5.59) are chosen in the increasing order from the set $R_P \setminus M$. Since $N \leq n$, and since

by the condition (5.57), $n \leq P - L$, the set $R_P \setminus M$ is large enough to accommodate such f_i 's.

We may think of a'_1 through a'_r as the contribution of the original string to the overall congruency value of t_s , since the *i*th bin of zeros for $L+1 \le i \le L+N$ is precisely the *i*th bin of zeros in \tilde{s} for j = i - L, since no run spans both p_s and s by the choice of p_s .

Since not all strings in the original code may have the same number of bins of zeros in the transformed domain, we may view the unused elements of the set $R_P \setminus M$ as corresponding to "virtual" bins of size zero. Since these bins are not altered during the transmission that causes r or less repetitions, the locations of repetitions can be uniquely determined as shown in the proof of Lemmas 4.1 and 4.3.

We now show that it is always possible to achieve

$$a_{1} \equiv \sum_{\substack{i=1\\i=1}}^{L+N} b_{i}f_{i} \mod P,$$

$$a_{2} \equiv \sum_{\substack{i=1\\i=1}}^{L+N} b_{i}f_{i}^{2} \mod P,$$

$$\vdots$$

$$a_{r} \equiv \sum_{\substack{i=1\\i=1}}^{L+N} b_{i}f_{i}^{r} \mod P,$$
(5.60)

for arbitrary but fixed values a_1 through a_r irrespective of the values a'_1 through a'_r , where b_i is either 0 or 1 for $1 \le i \le L - 1$, and where $f_L = 0$.

Before describing the encoding method that achieves (5.60) we state the following convenient result.

LEMMA 5.5. Suppose P is a prime number such that i|(P-1). Suppose the equation $x^i \equiv a \mod P$ has a solution, $1 \leq a \leq P - 1$. Then the equation $x^i \equiv a \mod P$ has i distinct solutions [1] and we may call them x_1 through x_i . The sum $\sum_{k=1}^{i} x_k^j \equiv 0 \mod P$ for $1 \leq j \leq i - 1$.

Proof. Let us consider the equation $x^i \equiv a \mod P$. Using Vieta's formulas and Newton's identities over GF(P) it follows that $\sum_{k=1}^{i} x_k^j \equiv 0 \mod P$ for $1 \le j \le i-1$.

The encoding procedure is recursive and proceeds as follows. Let l be the lth level of recursion for l = 1 to l = r. The *l*th level ensures that the *l*th congruency constraint in (5.60) is satisfied without altering previous l-1 levels. At each level l, starting with l=1 and while l < r:

- 1. Select a subset T_l of $F_l = \bigcup_{j=1}^{2l-1} B_{l,j}(x_{l,j})$ such that $\sum_{k \in T_l} k^l \equiv a_l a'_l \sum_{i=1}^{l-1} d_{i,l}$ mod P, and such that if an element $y, y^l \equiv z \mod P$ of $B_{l,j}(x_{l,j})$ is selected, then so are all other l-1 *l*th roots of z (which are also elements of $B_{l,j}(x_{l,j})$ by construction). For l = 1, $\sum_{k \in T_1} k \equiv a_1 - a'_1 \mod P$.
- 2. Let $d_{l,j} \equiv \sum_{k \in T_l} k^j \mod P$ for $l+1 \le j \le r$. 3. For each $i, 1 \le i \le |F_l|$, for which $f_i \in T_l$ we set $b_i = 1$, and for each i, for which $f_i \notin T_l$ we set $b_i = 0$.
- 4. Proceed to level l + 1.

After the level r is completed, let $b_L = \sum_{i=1}^r (|F_i| - |T_i|)$. The purpose of this bin with weighting zero is to ensure that the overall string t_s has the same length irrespective of the structure of the starting string s.

The existence of $T_l, T_l \subseteq F_l$ in Step 1) follows from Lemmas in Section 2. In particular, recall that each residue mod P can be expressed as a sum of a subset L_l of $\bigcup_{i=1}^{2l-1} A_{l,i}(x_{l,i})$, by Lemma 5.3. We then let T_l consist of all *l*th power roots of elements in L_l . By construction, T_l is the union of appropriate subsets of sets $B_{l,i}(x_{l,i})$, whose *l*th powers are precisely the elements of L_l , and these subsets are disjoint by construction.

Recall that the sets $B_{l,j}(x_{l,j})$ are constructed such that if an *l*th power root of a residue y belongs to $B_{l,j}(x_{l,j})$ then all l power roots of y also belong to $B_{l,j}(x_{l,j})$. Then, by Lemma 5.5 the contribution to each congruency sum for levels 1 through l - 1 of the elements of F_l is zero. Hence, once the target congruency value is reached for a particular level, it will not be altered by establishing congruencies at subsequent levels. As a result, since each string \tilde{t}_s satisfies congruency constraints given in (4.12), the resulting set of strings is immune to r repetitions while incurring asymptotically negligible redundancy.

6. Summary and Concluding Remarks. In this paper we discussed the problem of constructing repetition error correcting codes (subsets of binary strings) and the problem of guaranteeing the immunity to repetition errors of a collection of binary strings. We presented explicit number-theoretic constructions and provided results on the cardinalities of these constructions. We provided a generalization of a generating function calculation of Sloane [7] and a construction of multiple repetition error correcting codes that is asymptotically a constant factor better than the previously best known construction due to Levenshtein [9]. The latter construction was then used to develop a technique for prefixing a collection of binary strings for guaranteed immunity to repetition errors. The presented prefixing scheme relies on introducing a carefully chosen prefix for each original binary strings) belong to the set previously shown to be immune to repetition errors. The prefix length is constructed to be only logarithmic in the size of the original collection.

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