

NANOELECTRONICS AND PLASMA PROCESSING — THE NEXT 15 YEARS AND BEYOND

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OUTLINE

- The nanoelectronics revolution
- Dual frequency capacitive discharges
 - Collisionless heating
 - Ion energy distributions
 - Standing wave and skin effects
- The next 15 years and beyond



W.P. Allis (1901–1999)
Co-founder of the
Gaseous Electronics Conference

THE NANO-ELECTRONICS REVOLUTION

THE NANO-ELECTRONICS REVOLUTION

- Transistors/chip doubling every $1\frac{1}{2}$ –2 years since 1959
- 1,000,000-fold decrease in cost for the same performance in the last 30 years

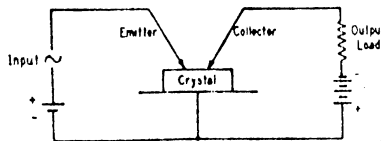
EQUIVALENT AUTOMOTIVE ADVANCE

- 60 million miles/hr
- 20 million miles/gal
- Throw away rather than pay parking fees
- 3 mm long \times 1 mm wide
- Crash 3 \times a day

THE INVENTION OF THE TRANSISTOR

The "Transistor" — an Amplifying Crystal

THERE was a time in the early days of radio when the "oscillating crystal" could be catalogued with sky hooks, left-handed monkey wrenches and striped paint, because no one knew how to amplify a signal with a galena, silicon or other crystal. All this is changed by the recent Bell Telephone Laboratories' announcement of the "Transistor," a small germanium-crystal unit that can amplify signals, and hence be made to oscillate.



Housed in a small metal tube less than one inch long and less than a quarter inch in diameter, the Transistor has no filament, no vacuum, and no glass envelope, and is made up only of cold solid substances. Two "catwhisker"-point contacts are made to a surface of the small germanium crystal, spaced approximately 0.002 inch apart.

The Transistor shown is connected as an amplifier in the accompanying sketch. The contact on the input side is called the "emitter" and the output contact is called the "collector" by the Bell Labs. A small positive bias of less than one volt is required on the emitter, and the output circuit consists of a negative bias of 20 to 30 volts and a suitable load. The input impedance is low

(100 ohms or so), and the output impedance runs around 10,000 ohms.

In operation, a small static current flows in both input and output circuit. A small current change in the emitter circuit causes a current change of about the same magnitude in the collector circuit. However, since the collector (output) circuit is a much higher-impedance circuit, a power gain is realized. Measuring this gain shows it to be on the order of 100, or 20 db., up through the television video range (5 Mc. or so). The present upper-frequency limit is said to be around 10 Mc., where transit-time effects limit the operation.

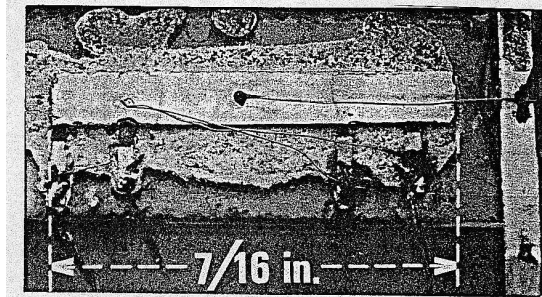
The Bell Labs have demonstrated complete broadcast-range superhet receivers using only Transistors for oscillator and amplifier functions (with a 1N34 second detector and selenium power rectifiers). An audio output of 25 milliwatts was obtained by using two Transistors in a push-pull connection. However, it seems likely that in the near future Transistors will find their maximum application in telephone amplifiers and large-scale computers, although their small size and zero warm-up time may make them very useful in hearing aids and other compact amplifiers.

It doesn't appear that there will be much use made of Transistors in amateur work, unless it is in portable and/or compact audio amplifiers. The noise figure is said to be poor, compared to that obtainable with vacuum tubes, and this fact may limit the usefulness in some amateur applications. These clever little devices are well worth keeping an eye on. — B. G.

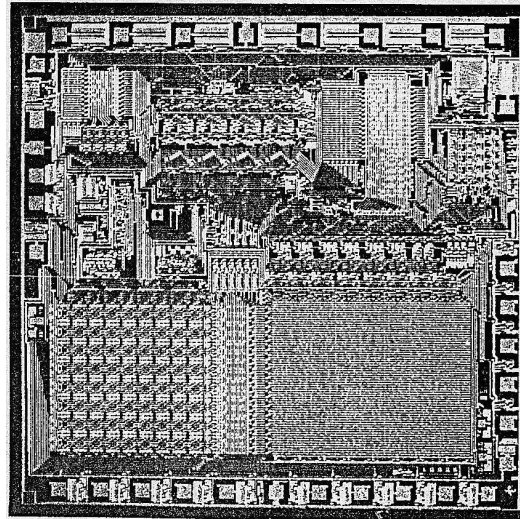
QST for

October 1948

FIRST INTEGRATED CIRCUIT AND MICROPROCESSOR

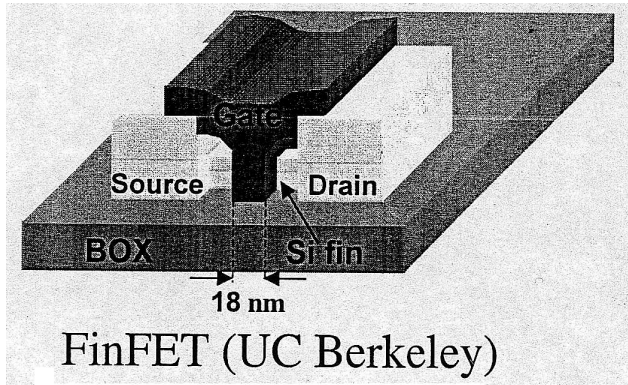


The first integrated circuit was made in 1958 by Jack Kilby of Texas Instruments.

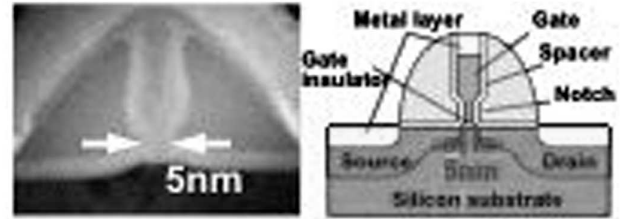


Less than two decades later, engineers put a complete microcomputer on a chip. [Source: Texas Instruments, Inc.]

DOUBLE/TRI GATE TRANSISTORS



FinFET (UC Berkeley)



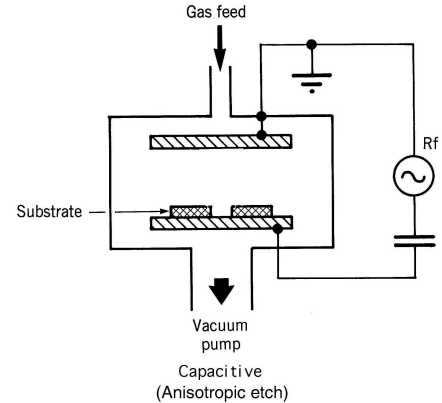
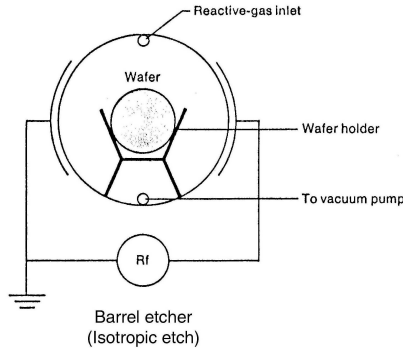
NEC 5 nm Gate

- Vertical structures can be built with current fabrication techniques
- CMOS can be scaled another 15 years
- State of the art (2005):
 - In manufacture:
 - 50 nm (200 atoms) gate length
 - 1.5 nm (5 atoms) gate oxide thickness
 - Smallest fabricated CMOS transistor (NEC):
 - 5 nm (20 atoms) gate length
 - Limiting gate length from simulations (desktop ic):
 - 4 nm (16 atoms) gate length

EVOLUTION OF ETCHING DISCHARGES — FIRST AND SECOND GENERATIONS

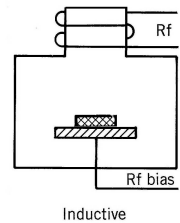
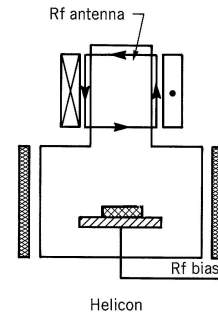
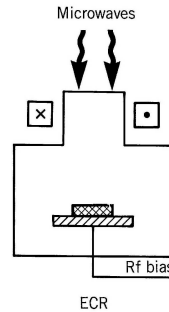
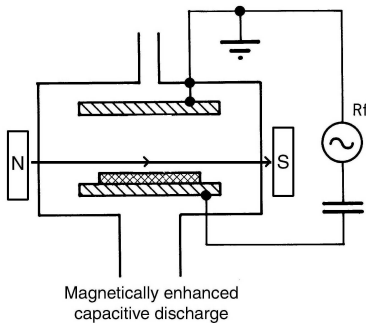
FIRST GENERATION

(1 rf source,
multi-wafer,
low density)



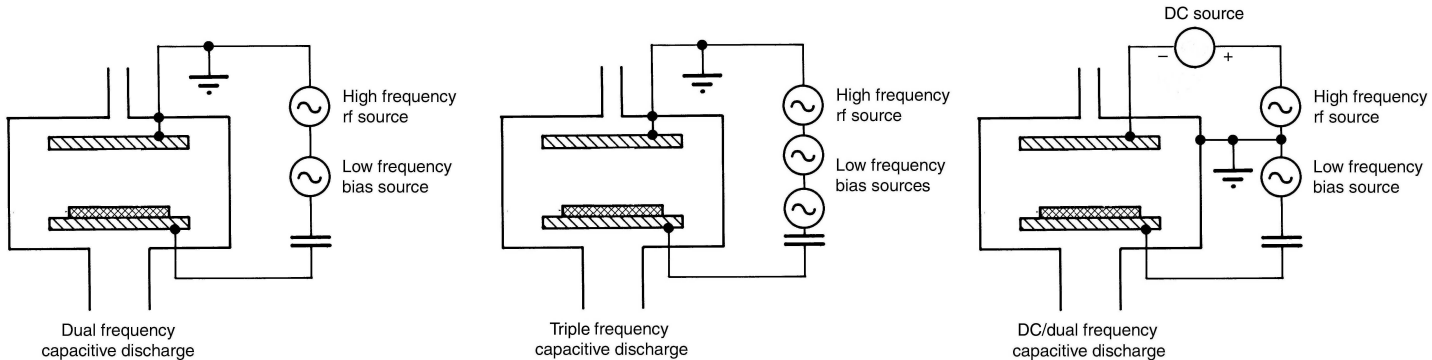
SECOND GENERATION

(2 sources,
single wafer,
high density)



THIRD GENERATION — INTER-DIELECTRIC ETCH

(Multi-frequency, single wafer, moderate density)



- In the year 2020
 - 6nm gate width, 6 billion transistors, 73 GHz on-chip clock
 - 14–18 wiring levels (dielectric layers)
- Why capacitive discharge?
 - low surface area seen by plasma (inexpensive)
 - silicon upper electrode (control of F/CF_x ratio)
 - robust uniformity over wide pressure range

DUAL FREQUENCY CAPACITIVE DISCHARGES

WHY DUAL FREQUENCY CAPACITIVE DISCHARGES?

- Independent control of ion flux and ion energy

High frequency power P_h controls ion flux
Low frequency voltage V_l controls ion energy

H.C. Kim, J.K. Lee, and J.W. Shon, *Phys. Plasmas* **10**, 4545 (2003)

M.A. Lieberman, J. Kim, J.P. Booth, J.M. Rax and M.M. Turner,
SEMICON Korea Etching Symposium, p. 23 (2003)

P.C. Boyle, A.R. Ellingboe, and M.M. Turner, *J. Phys. D: Appl. Phys.*
37, 697 (2004)

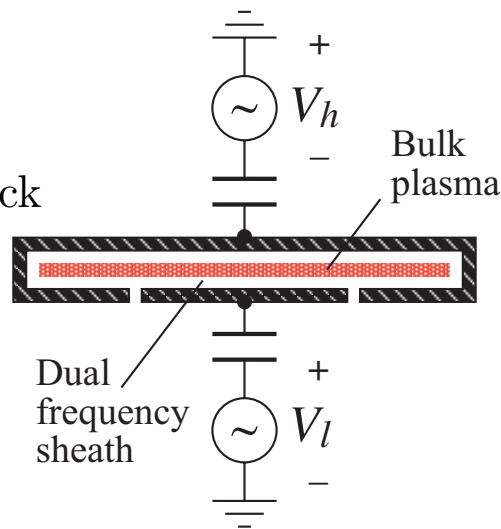
- $R \sim 15\text{--}30$ cm, $L \sim 1\text{--}3$ cm

$p \sim 30\text{--}300$ mTorr, $\text{C}_4\text{F}_8/\text{O}_2/\text{Ar}$ feedstock

$f_h \sim 27.1\text{--}160$ MHz, $V_h \sim 50\text{--}200$ V

$f_l \sim 2\text{--}13.56$ MHz, $V_l \sim 500\text{--}1500$ V

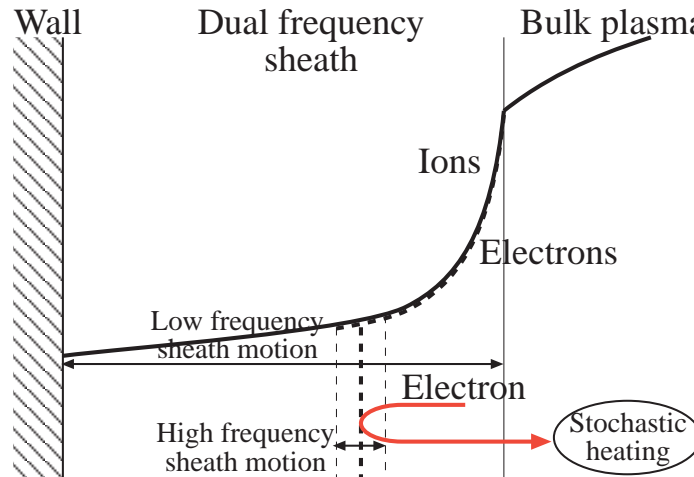
Absorbed powers P_h , $P_l \sim 500\text{--}3000$ W



COLLISIONLESS OR “STOCHASTIC” HEATING BY DUAL FREQUENCY SHEATHS

DUAL FREQUENCY STOCHASTIC HEATING

- An important electron heating process below 200 mTorr



- How are electrons heated by the high frequency oscillations?

M.M. Turner and P. Chabert, *Phys. Rev. Lett.* **96**, 205001, 2006

E. Kawamura, M.A. Lieberman, and A.J. Lichtenberg, *Phys. Plasmas* **13**, 053506, 2006

I.D. Kaganovich, O.V. Polomarov, and C.E. Theodosiou, *IEEE Trans. Plasma Sci.* **34**, 696, 2006

STOCHASTIC HEATING POWER

- Hard wall theory in dual frequency regime:

$$S_{\text{stoc}} = \underbrace{\frac{1}{2} m \bar{v}_e \frac{J_h^2}{e^2 n_s}}_{\text{High freq part}} \times \underbrace{\left(1 + \frac{\pi}{4} H_l\right) \left(\frac{H_l}{H_l + 2.2}\right)}_{\text{Low freq part } F(H_l)}$$

High freq part Low freq part $F(H_l)$

S_{stoc} = stochastic heating power per unit electrode area

m = electron mass

$\bar{v}_e = (8eT_e/\pi m)^{1/2}$ = mean thermal electron speed

J_h = high frequency current density

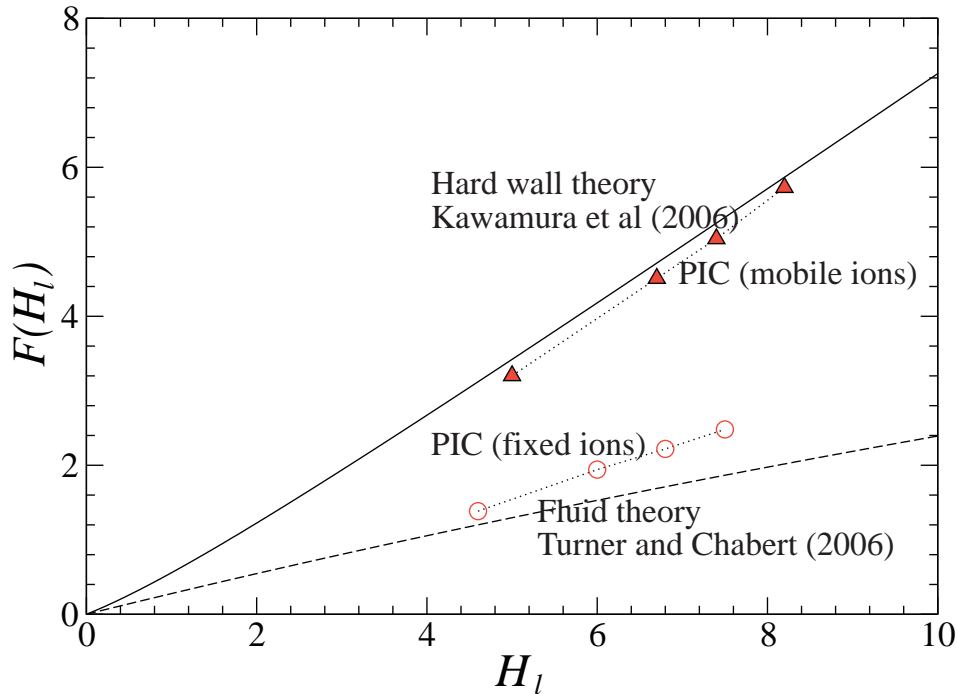
n_s = plasma density at bulk plasma–sheath edge

$H_l = 0.55(V_l/T_e)^{1/2}$ = low frequency enhancement factor

- Fluid theory gives similar result

PARTICLE-IN-CELL SIMULATIONS

- Dual frequency stochastic heating

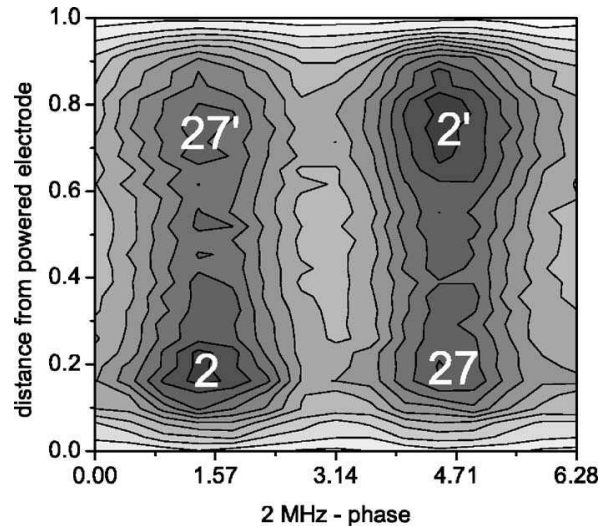


- Ohmic heating in the sheath shows similar behavior
(M.M. Turner and P. Chabert, *Appl. Phys. Lett.* **89**, 231502, 2006)

EXPERIMENTS AND SIMULATIONS

- Space- and time-resolved optical emission show coupling

27 MHz/2 MHz



(T. Gans, J. Schulze, D. O’Connell, U. Czarnetski, R. Faulkner, A.R. Ellingboe, and M.M. Turner, *Appl. Phys. Lett.* **89**, 261502, 2006)

- Energy deposition by “kicked” electrons is complex
⇒ wave-particle interactions, two-stream instabilities
(D. O’Connell, T. Gans, D. Vender, U. Czarnetski, and R. Boswell, *Phys. Plasmas* **14**, 034505, 2007)

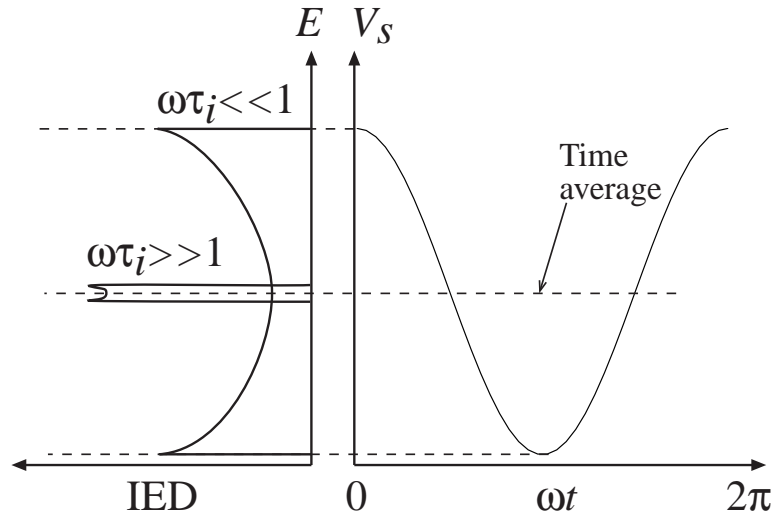
ION ENERGY DISTRIBUTION (IED) ON THE SUBSTRATE SURFACE

T. Panagopoulos and D. Economou, *J. Appl. Phys.* **85**, 3435, 1999

S. Shannon, D. Hoffman, J.G. Yang, A. Paterson, and J. Holland,
J. Appl. Phys. **97**, 103304, 2005

A Wu, M.A. Lieberman and J.V. Verboncoeur, *J. Appl. Phys.*
101, 056105, 2007

FORMATION OF PERIOD-AVERAGED IED FOR SINGLE-FREQUENCY SHEATH



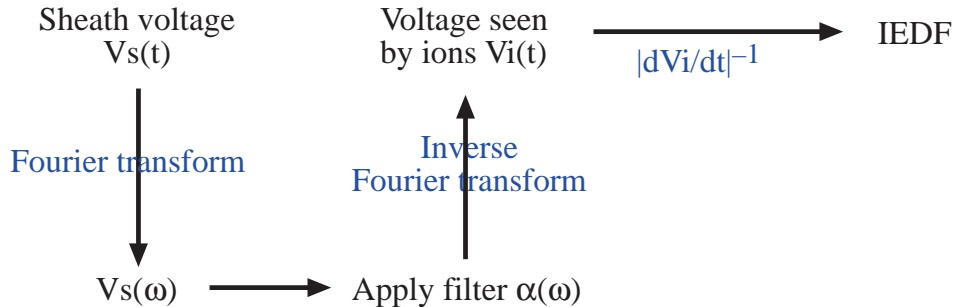
(τ_i = ion transit time across the sheath)

- For $\omega\tau_i \ll 1$, ions respond to the full time-varying sheath voltage
- For $\omega\tau_i \gg 1$, ions respond to the time-averaged sheath voltage

⇒ low-pass filter

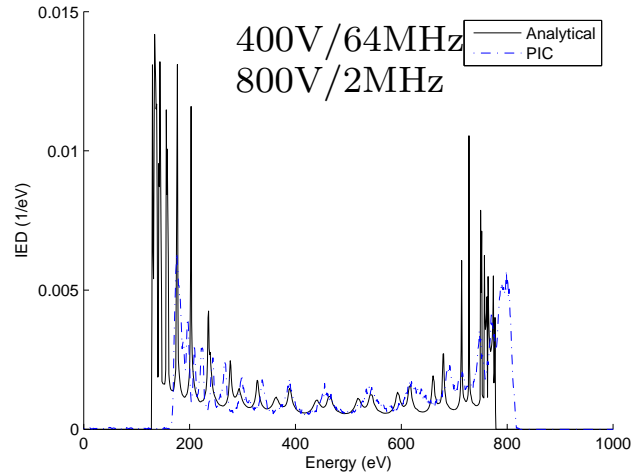
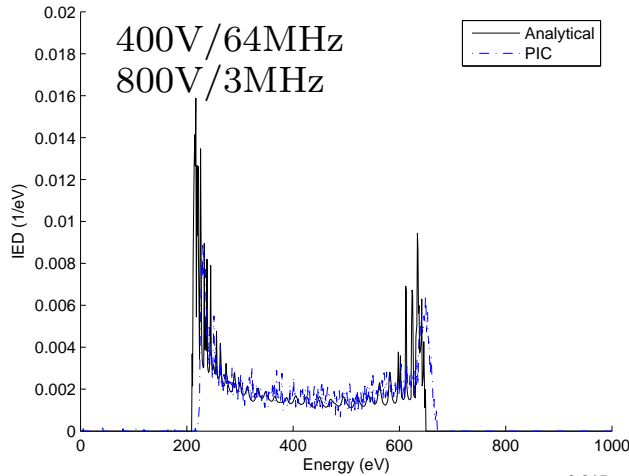
ION ENERGY DISTRIBUTION (IED)

- What is energy distribution of ion flux incident on the substrate?
- Collisionless ions with two and three frequencies

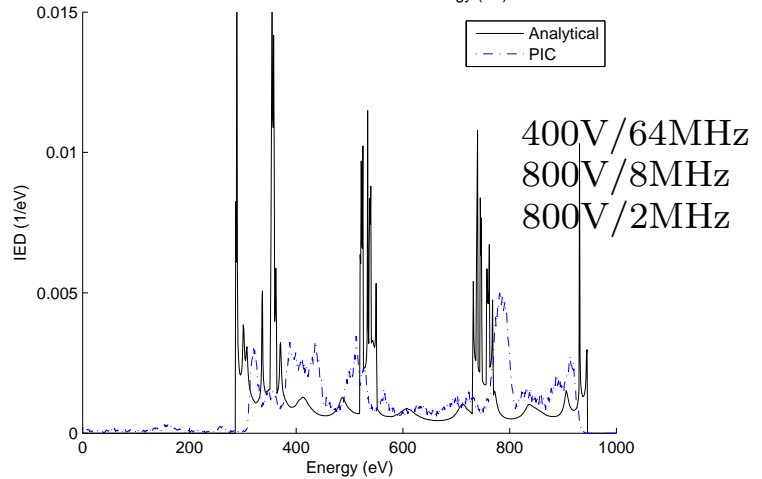


- Use filter $\alpha(\omega) = [(c\omega\tau_i)^p + 1]^{-1/p}$ with $c = 0.3$, $p = 5$, and $\tau_i = \text{ion transit time across the sheath} = 3\bar{s}(M/2e\bar{V}_s)^{1/2}$

DUAL/TRIPLE FREQUENCY PIC SIMULATIONS



Gap=3 cm
 $p = 30$ mTorr
Collisionless ions



HIGH FREQUENCY ELECTROMAGNETIC EFFECTS

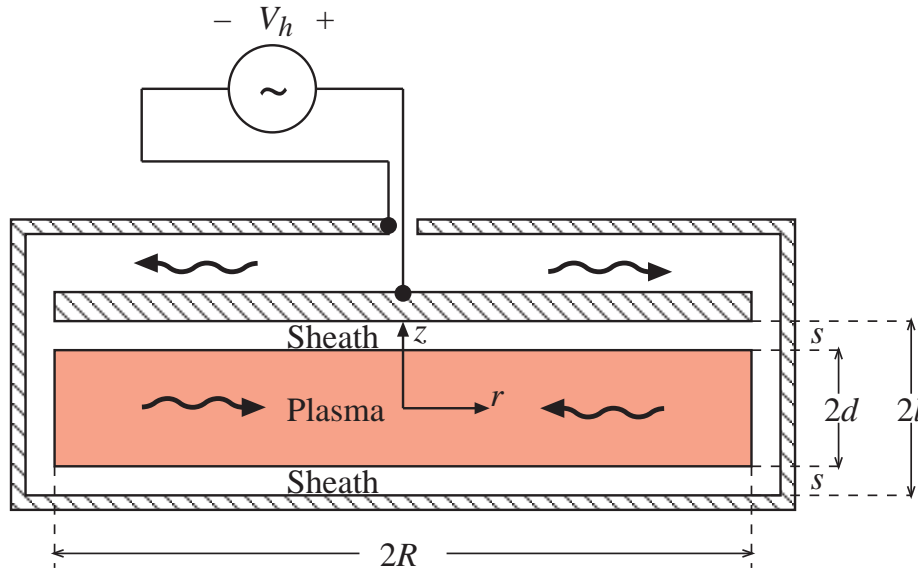
STANDING WAVES AND SKIN EFFECTS

- High frequency and large area \Rightarrow standing wave effects
- High frequency \Rightarrow high density \Rightarrow skin effects

Y.P. Raizer and M.N. Schneider, *IEEE Trans. Plasma Sci.* **26**, 1017, 1997
M.A. Lieberman, J.P. Booth, P. Chabert, J.M. Rax, and M.M. Turner,
Plasma Sources Sci. Technol. **11**, 283, 2002
P. Chabert, *J. Phys. D: Appl. Phys.* **40**, R63, 2007

CYLINDRICAL CAPACITIVE DISCHARGE

Consider only the high frequency source

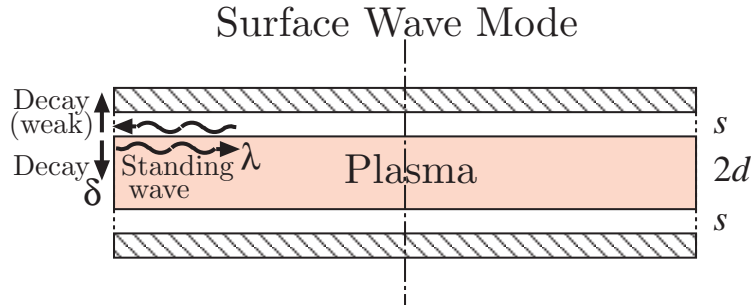


Fields cannot pass through metal plates

- (1) V_s excites radially outward wave in top vacuum gap
- (2) Outward wave excites radially inward wave in plasma

SURFACE WAVE MODE

- Power enters the plasma via a *surface wave mode*:



- Radial wavelength for surface wave (low density limit):

$$\lambda \approx \frac{\lambda_0}{\sqrt{1 + d/s}} \sim \frac{\lambda_0}{3}$$

with $\lambda_0 = c/f$ the free space wavelength

- Axial skin depth for surface wave:

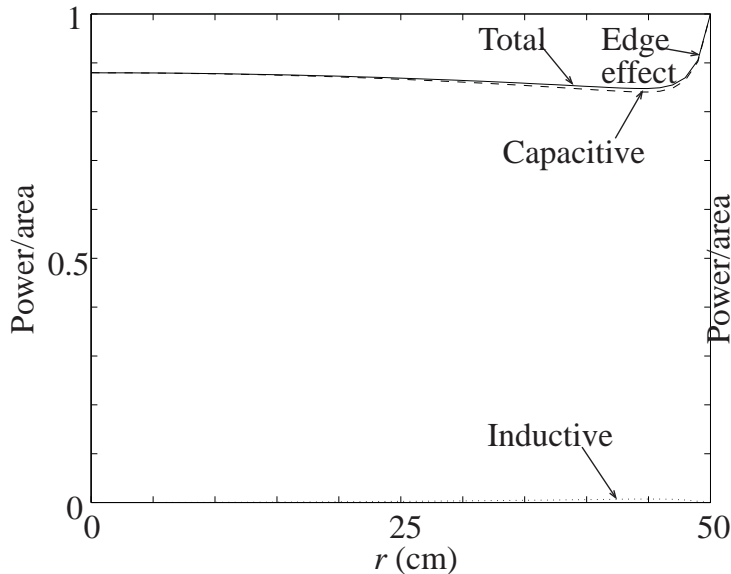
$$\delta \sim \frac{c}{\omega_p}$$

- There are also *evanescent modes* leading to edge effects near $r = R$

STANDING WAVE EFFECT — FIXED n_e AND s

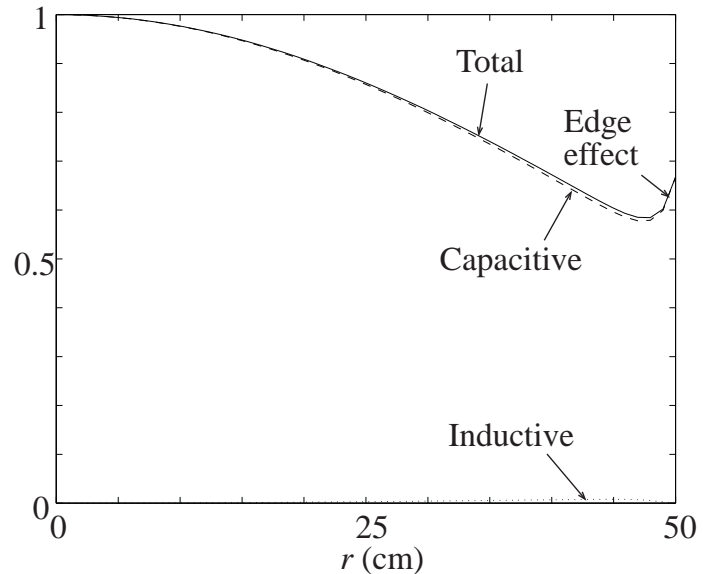
- $R = 50$ cm, $d = 2$ cm, $s = 0.4$ cm, $n_e = 10^9$ cm $^{-3}$, $\delta \approx 16$ cm
- P_{cap} (dash), P_{ind} (dot) and P_{tot} (solid) as a function of r

13.56 MHz ($\lambda \approx 9\text{--}10$ m)



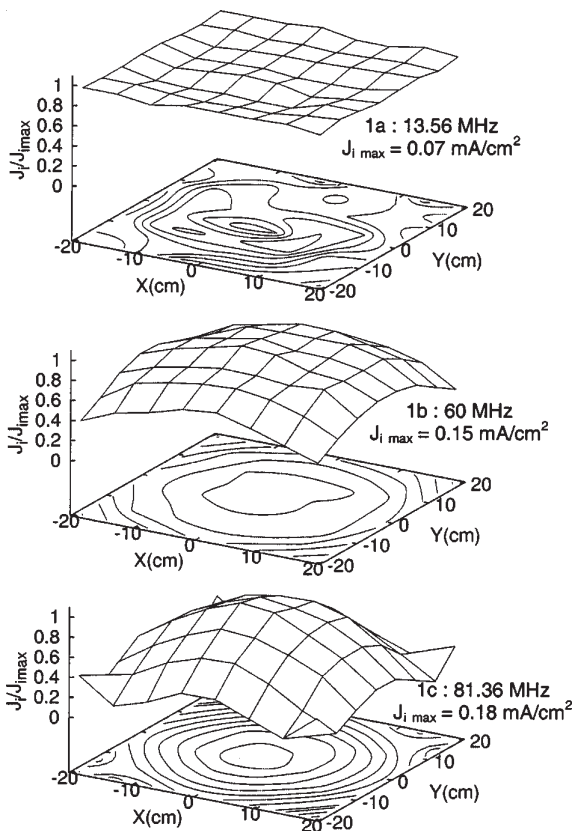
Small standing wave and skin effects

40.7 MHz ($\lambda \approx 3$ m)



Large standing wave effect;
center-high profile

EXPERIMENTAL RESULTS FOR STANDING WAVES



20×20 cm discharge
 $p = 150 \text{ mTorr}$
50 W rf power

The standing wave effect is seen at 60 MHz and is more pronounced at 81.36 MHz

(A. Perret, P. Chabert, J-P Booth, J. Jolly, J. Guillon and Ph. Auvray,
Appl. Phys. Lett. **83**, 243, 2003)

SKIN EFFECTS

- Skin effects \implies radial nonuniformities at high densities when

$$\delta \lesssim 0.45 \sqrt{d R}$$

$\delta \propto \frac{1}{\sqrt{n}}$ = collisional or collisionless skin depth

d = bulk plasma half-thickness

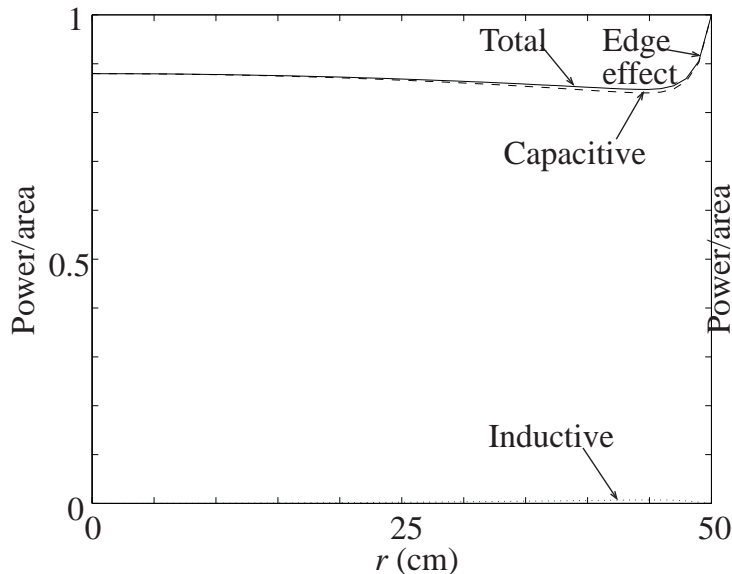
R = discharge radius

- Normal capacitive discharge \implies “capacitive” power deposition due to axial electric field E_z (“E-mode”)
- Large skin effects \implies “inductive” power deposition due to radial electric field E_r (“H-mode”)

SKIN EFFECTS — FIXED n_e AND s

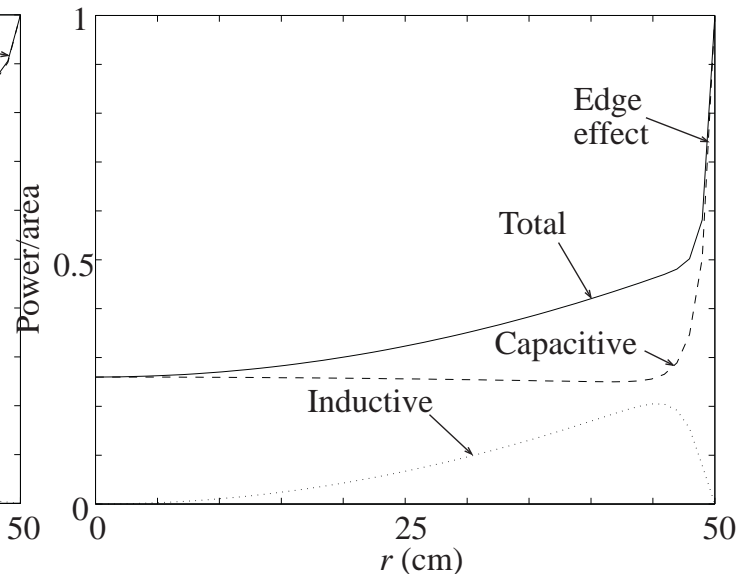
- $R = 50$ cm, $d = 2$ cm, $s = 0.4$ cm, $f = 13.56$ MHz, $\lambda \approx 9$ m
- P_{cap} (dash), P_{ind} (dot) and P_{tot} (solid) as a function of r

$n_e = 10^9 \text{ cm}^{-3}$ ($\delta = 16.7$ cm)



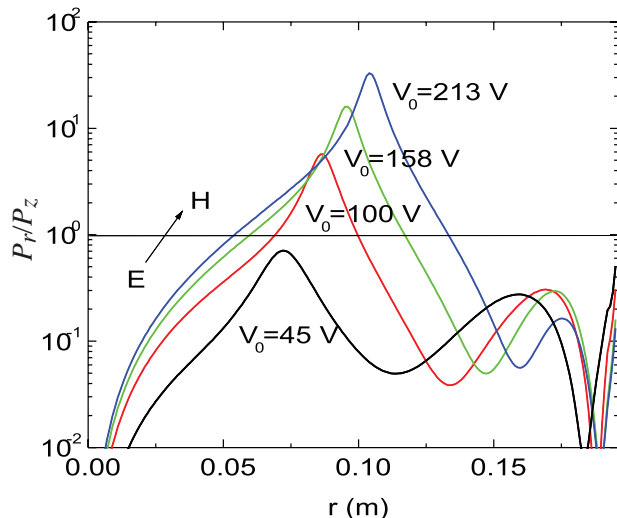
Small standing wave and skin effects

$n_e = 10^{10} \text{ cm}^{-3}$ ($\delta = 5.3$ cm)

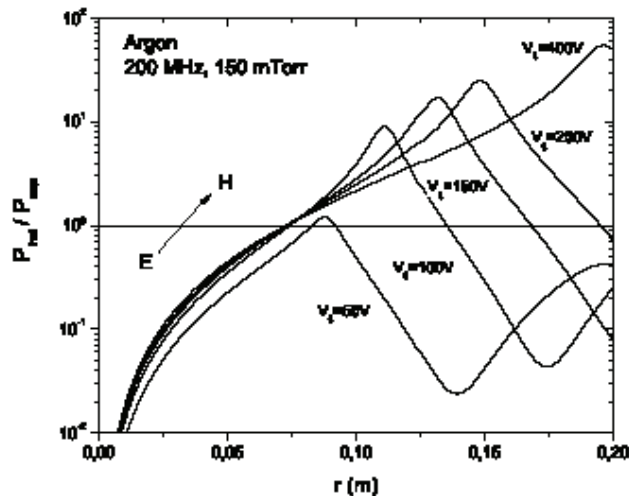


Large skin effects;
center-low profile

SKIN EFFECTS — SELF-CONSISTENT CALCULATIONS



Finite element method (FEM)
 (Insook Lee, D.B. Graves, and M.A. Lieberman,
 to appear in *Plasma Sources Sci. Technol.*, 2007)



Transmission line model
 (P. Chabert et al, *Plasma Sources
 Sci. Technol.* **15**, S130, 2006)

In both cases spatial E to H transitions are seen

THE NEXT 15 YEARS AND BEYOND

THE EXPERTS SPEAK[†]

- “There is not the slightest indication that [nuclear] energy will ever be obtained” — *Albert Einstein, 1932*
- “Anyone who expects a source of power from the transformation of these atoms is talking moonshine.” — *Ernest Rutherford, 1933*
- “A few decades hence, [when controlled fusion is achieved], energy will be free — just like the unmeasured air.” — *John von Neumann, 1956*
- “Radio has no future.” — *Lord Kelvin, 1897*
- “I think there is a world market for about five computers.” — *Thomas J. Watson, 1943*
- “Where a calculator like ENIAC is equipped with 18,000 vacuum tubes and weighs 30 tons, computers in the future may have only 1,000 vacuum tubes and perhaps only weigh $1\frac{1}{2}$ tons.” — *Popular Mechanics, March 1949*
- “640k ought to be enough for anybody.” — *Bill Gates, 1981*

[†] C. Cerf and V. Navasky, Villard, New York, 1998

INTERNATIONAL TECHNOLOGY ROADMAP FOR SEMICONDUCTORS (ITRS 2005)

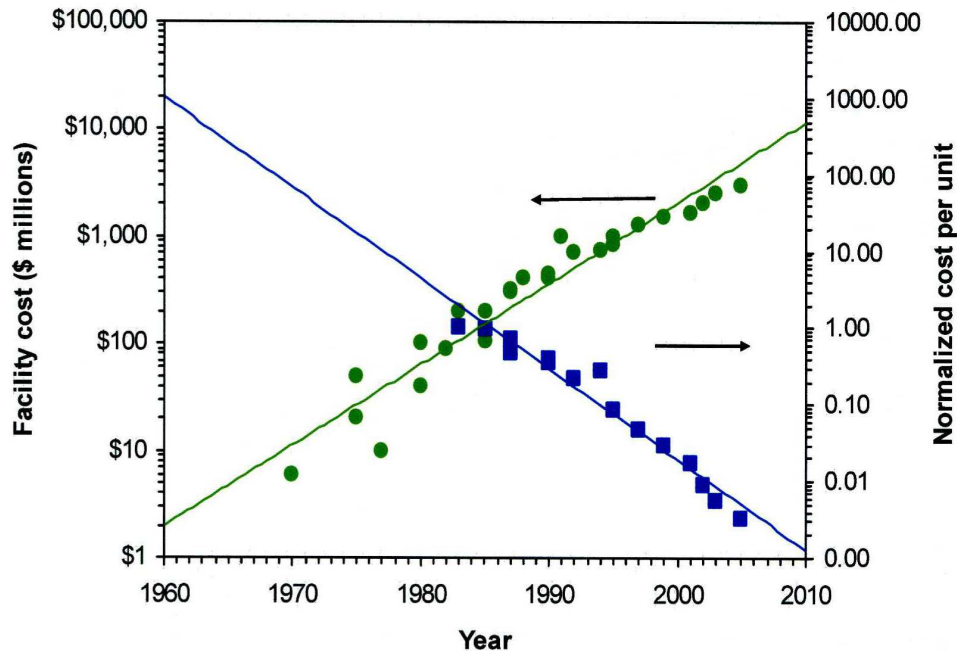
| Year | 2006 | 2009 | 2011 | 2013 | 2015 | 2017 | 2020 |
|------------------|------|------|------|------|------|------|------|
| Half-pitch (nm) | 70 | 50 | 40 | 32 | 25 | 20 | 14 |
| Gate length (nm) | 28 | 20 | 16 | 13 | 10 | 8 | 6 |

- Above limits imposed by thermodynamics and quantum mechanics
- Major issues are transistor physics, materials limitations, and power dissipation
 - Doping profiles, silicon-on-insulator, FinFET's, tri-gate structures
 - High- κ gate dielectrics, metal gates, strained Si, Si-Ge, low- κ interconnect dielectrics
 - Passive heat sunk power limitation of around 200 W/cm²
- Formidable manufacturing issues remain; eg, lithography, metrology

“You can scale CMOS down another 10–15 years; nothing touches the economics of it.” — Intel CEO Craig Barrett

COST OF FABS

- Cost of fabs is growing exponentially (\$3–4 billion/fab in 2006)



- But cost per unit output is falling exponentially!

Fabs are becoming more economical

BEYOND 2020

- Moore's law (miniaturization) ends, but products improve for many years
- MOS-FET's continue for fast switches
Vertical CMOS transistors → silicon/carbon nanowires/nanotubes?
- Copper/low- κ dielectric layers continue for interconnects
Copper → carbon nanotubes? Optical interconnects?
- CMOS memory migrates to compatible magnetic memory

“Spintronics:” electron charge → electron spin

Flash (slow) and DRAM (volatile) → MRAM (fast, non-volatile)?
⇒ 1st product in 2006: Freescale MRAM (4 Mb, 35 ns)

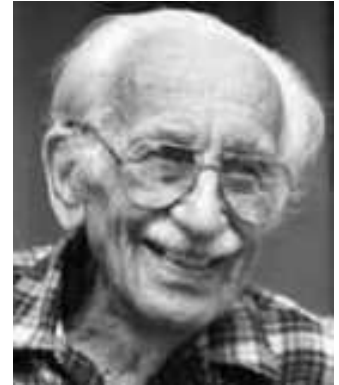
PIE IN THE SKY[†]

- “3D chips” (heat removal limit of 200 W/cm²)
- “Single-molecule transistors” (not much smaller than CMOS transistors)
- “Single-electron transistors” (need low temperatures)
- “Cross-bar computing” (replace reliable CMOS switches with defect-prone nanowire switches)
- “Self-assembled, DNA-based computers” (we each own one already)
- “Quantum computing” (exponentially faster computation for niche applications; e.g. codebreaking)

[†] From a Joe Hill union song, *The Preacher and the Slave*, 1911

CONCLUSIONS

- CMOS scales to 24-atom gate lengths in 2020
- CMOS product improvements continue far beyond 2020
- Plasma reactor research and development will intensify to meet these needs
- Displacing CMOS beyond 2020 is unlikely; other technologies will be integrated into the CMOS platform



W.P. Allis (1901–1999)
Co-founder of the GEC

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