SHEATH DYNAMICS AND ENERGETIC PARTICLE DISTRIBUTIONS ON SUBSTRATES

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OUTLINE

CAPACITIVE RF SHEATHS

- Ion energy distribution (IED) on substrate
- Ion angular distribution (IAD) on substrate

PULSED SHEATHS

- Control of ion energy distribution (IED)
- IED for implantation on conducting and insulating substrates

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CAPACITIVE RF SHEATHS

(E. Kawamura et al, 1999)

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COLLISIONLESS RF SHEATHS

- Ions flow across sheath with no collisions
- Voltage across sheath

$$V_s(t) = \widetilde{V}_s(1 + \cos \omega t)$$

• Average voltage $\overline{V}_s = \text{rf}$ amplitude \widetilde{V}_s



• IED's depend on

 $\omega \tau_i =$ rf frequency × ion transit time across sheath

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SHORT AND LONG ION TRANSIT TIME

• High plasma density (thin sheath), $\omega \tau_i \ll 1$



• Low plasma density (thick sheath), $\omega \tau_i \gg 1$



• Bi-modal (two-peak) IED's are seen

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PLASMA -

BI-MODAL IED'S — SHORT TRANSIT TIME

• Ions "see" the instantaneous voltage across the sheath



- Two phase intervals $d(\omega t)$ map into a single energy interval $d\mathcal{E}$ For a given $d\mathcal{E}$, more ions strike the substrate if $d(\omega t)$ is larger
- Bi-modal IED with broad energy spread $\Delta \mathcal{E} = 2\widetilde{V}_s, \ 0 < \mathcal{E} < 2\widetilde{V}_s$

BI-MODAL IED'S — LONG TRANSIT TIME

- Ions "see" nearly the average voltage $\overline{V}_s = V_s$ across the sheath
- Bi-modal IED with narrow energy spread

$$\Delta \mathcal{E} = \frac{4}{\omega \tau_i} \, 2 \overline{V}_s \quad \text{(about } \overline{V}_s)$$

• Because ion transit time $\tau_i \propto 1/\text{velocity}$, and $\text{velocity} = \sqrt{2eV_s/M}$, there is an ion mass dependence

$$\Delta \mathcal{E} \propto \frac{1}{\sqrt{M}}$$

• Earliest measurement (J. Erö, 1958)





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SOME EXPERIMENTAL RESULTS

Capacitive discharge, 13.56 MHz, 75 mTorr Note Eu⁺ (mass 152), H₂O⁺ (mass 18) and H₃⁺ (mass 3) (J.W. Coburn and E. Kay, 1972)

• Capacitive discharge, CF₄ at 3 mTorr (Note $\Delta \mathcal{E} \propto 1/\sqrt{M}$) (A.D. Kuypers and H.J. Hopman, 1990)

20

40

60

80

100



H20+

120

140

Ion Energy (eV)

160

180

DUAL FREQUENCY CAPACITIVE SHEATHS

 Single frequency Two times/cycle map to a given ion energy *E*

 Dual frequency More than two times/cycle map to a given ion energy *E*



• For $\omega \tau_i \ll 1$, ions respond to the full time-varying sheath voltage For $\omega \tau_i \gg 1$, ions respond to the time-average sheath voltage

 \implies low-pass filter

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DUAL/TRIPLE FREQUENCY PIC SIMULATIONS



ION-NEUTRAL COLLISIONS IN THE SHEATH

- Ion-neutral charge transfer collisions create slow ions within the sheath
- Groups of slow ions are accelerated, leading to additional peaks in the IED (C. Wild and P. Koidl, 1991)

• IED's measured in a collisional rf discharge for various pressures; the low energy peaks arise from a combination of charge exchange collisions and rf modulation



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COLLISIONAL IED — MEASUREMENT AND MODEL

 $(13.56 \text{ MHz}, 50 \text{ W}, 4 \text{ cm gap}, \text{Ar/CF}_4/\text{O}_2 = 30.5:10 \text{ mTorr}, \text{W.C. Chen et al}, 2009)$



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ION ANGULAR DISTRIBUTION (IAD)

(see J. Liu, G.L. Huppert, and H. Sawin, 1990)

Argon ions, 13.56 MHz, 15 cm gap (J. Janes and C. Huth, 1992)



Bi-modal peak at 20 mTorr, 200 V rf bias $\mathcal{E}_i = 145$ V at 5 mTorr, 150 V rf bias LiebermanAPCPST10 14

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 $40~\mathrm{mTorr},\,200~\mathrm{V}$ rf bias



MONTE CARLO SIMULATION OF IAD

- Argon ions, 13.56 MHz, 10 mTorr, rf bias = 200 V, $n_e = 10^9$ cm⁻³
- Charge-exchange and elastic collisions with energy-dependent differential cross sections used



Anisotropic ion starting distribution







Isotropic angular starting distribution

(K. Börnig and J. Janes, 1995) Also O_2^+ , O^+ , CF_3^+ , CF_2^+ , CF^+

PLASMA -----

PULSED SHEATHS

Pulses are "long" (~ few μ s)

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- PLASMA

IED CONTROL USING PULSED WAVEFORMS

(F.L. Buzzi et al, 2009)

- Tailor the shape of the bias waveform on the substrate
- 18 mTorr, 700 W, $Ar/C_4F_8/O_2$ helicon plasma



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PLASMA IMMERSION ION IMPLANTATION (PIII) (A. Anders, 2000)

- Apply a series of (negative) high voltage pulses to implant ions into a substrate
- Plasmas are low pressure (collisionless ions) with $n_e \sim 10^{10} \text{ cm}^{-3}$
- Pulses are 1–10 μ s at 3–30 kV, with pulse rate of 100–10⁴ Hz



CONDUCTING SUBSTRATES

(M.A. Lieberman, 1989; J.T. Scheuer et al, 1990)





- High energy monoenergetic ions — pulse on-time
- Low energy ions Rise and decay times, initial uniform density ("matrix") sheath, ion transit time effect (B.P. Linder and N.W. Cheung, 2001)



SMA

INSULATING SUBSTRATES

(G.A. Emmert, 1994)



- $V_{\rm sh}(t)$ is the pulse on-time ion implantation energy
- Initial $V_{\rm sh} < V_0$ because V_0 divides across {sheath + dielectric}
- $V_{\rm sh}$ decreases with time due to charge build-up on dielectric
- $V_{\rm sh} \rightarrow 0$ when dielectric fully charges

 \implies broadened, reduced energy IED's

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SUMMARY

CAPACITIVE RF SHEATHS

- Ion energy distribution good data, simulations, and models
- Ion angular distribution limited data, incomplete understanding and models

PULSED SHEATHS

- Pulse shaping a promising approach
- Ion implantation energy distribution basic understanding, hardly any data

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